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UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

vs.

RICHARD VU NGUYEN, A/K/A
NGUYEN THANH VU, and NTV
FINANCIAL GROUP, INC.,

Defendants,

and

MAI DO,

Relief Defendant.

Case No. SACV19-1174-AG (KESx)

~~[PROPOSED]~~ TEMPORARY
RESTRAINING ORDER, ORDER TO
SHOW CAUSE WHY A
PRELIMINARY INJUNCTION
SHOULD NOT BE GRANTED AND A
PERMANENT RECEIVER SHOULD
NOT BE APPOINTED, AND ORDERS
(1) FREEZING ASSETS; (2)
REQUIRING ACCOUNTINGS; (3)
PROHIBITING THE DESTRUCTION
OF DOCUMENTS; (4) GRANTING
EXPEDITED DISCOVERY; AND (5)
APPOINTING A TEMPORARY
RECEIVER

(FILED UNDER SEAL)

1 This matter came before the Court upon the *Ex Parte* Application of Plaintiff
2 Securities and Exchange Commission ("SEC") for a Temporary Restraining Order
3 and Order to Show Cause Why a Preliminary Injunction Should Not Be Granted and
4 a Permanent Receiver Should Not Be Appointed, and Orders (1) Freezing Assets; (2)
5 Requiring Accountings; (3) Prohibiting the Destruction of Documents; (4) Granting
6 Expedited Discovery; and (5) Appointing a Temporary Receiver (the "TRO
7 Application").

8 The Court, having considered the SEC's Complaint, the TRO Application, the
9 supporting Memorandum of Points and Authorities, the supporting declarations and
10 exhibits, and the other evidence and argument presented to the Court, finds that:

11 A. This Court has jurisdiction over the parties to, and the subject matter of,
12 this action.

13 B. The SEC has made a sufficient and proper showing in support of the
14 relief granted herein, as required by Section 20(b) of the Securities Act
15 of 1933 ("Securities Act"), 15 U.S.C. § 77t(b), Section 21(d) of the
16 Securities Exchange Act of 1934 ("Exchange Act"), 15 U.S.C. § 78u(d),
17 and Section 209(d) of the Investment Advisers Act of 1940 ("Advisers
18 Act"), 15 U.S.C. § 80b-9(d), by evidence establishing a *prima facie* case
19 and reasonable likelihood that defendants NTV Financial Group, Inc.
20 ("NTV Financial") and Richard Vu Nguyen a/k/a Nguyen Thanh Vu
21 ("Nguyen") (collectively, "Defendants") have engaged in, are engaging
22 in, are about to engage in, and unless restrained and enjoined will
23 continue to engage in transactions, acts, practices, and courses of
24 business that constitute violations of Section 17(a) of the Securities Act,
25 15 U.S.C. § 77q(a), Section 10(b) of the Exchange Act, 15 U.S.C. §
26 78j(b), and Rule 10b-5 thereunder, 17 C.F.R. § 240.10b-5, and Sections
27 206(1)-(2) and 206(4) of the Advisers Act, 15 U.S.C. §§ 80b-6(1), (2),
28 and (4) and Rule 206(4)-8 thereunder, 17 C.F.R. 275.206(4)-8.

1 C. Good cause exists to believe that, unless restrained and enjoined by order
2 of this Court, Defendants and Relief Defendant Mai Do ("Relief
3 Defendant" or "Do") will dissipate, conceal, or transfer assets which
4 could be subject to an order directing disgorgement or the payment of
5 civil money penalties in this action. Good cause further exists to believe
6 that, unless restrained and enjoined by order of this Court, Defendants
7 will access third parties' brokerage accounts. It is appropriate for the
8 Court to issue this Temporary Restraining Order *ex parte* so that prompt
9 service on appropriate financial institutions can be made, thus preventing
10 the dissipation of assets.

11 D. Good cause exists to believe that an accounting of Defendants' and the
12 Relief Defendant's assets is necessary.

13 E. Good cause exists to believe that, unless restrained and enjoined by order
14 of this Court, Defendants and the Relief Defendant may alter or destroy
15 documents relevant to this action.

16 F. Good cause exists to believe that expedited discovery is necessary.

17 G. Good cause exists to appoint a Temporary Receiver.

18 I.

19 IT IS HEREBY ORDERED that the SEC's TRO Application is GRANTED.

20 II.

21 IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendants
22 NTV Financial and Nguyen are temporarily restrained and enjoined from violating,
23 directly or indirectly, Section 10(b) of the Exchange Act [15 U.S.C. § 78j(b)] and
24 Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or
25 instrumentality of interstate commerce, or of the mails, or of any facility of any
26 national securities exchange, in connection with the purchase or sale of any security:

27 (a) to employ any device, scheme, or artifice to defraud;

28 (b) to make any untrue statement of a material fact or to omit to state a

1 material fact necessary in order to make the statements made, in the light
2 of the circumstances under which they were made, not misleading; or
3 (c) to engage in any act, practice, or course of business which operates or
4 would operate as a fraud or deceit upon any person.

5 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as
6 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also
7 binds the following who receive actual notice of this Order by personal service or
8 otherwise: (a) Defendants' officers, agents, servants, employees, and attorneys; and
9 (b) other persons in active concert or participation with any of the Defendants or with
10 anyone described in (a).

11 **III.**

12 IT IS FURTHER ORDERED that Defendants NTV Financial and Nguyen, and
13 their officers, agents, servants, employees, attorneys, subsidiaries and affiliates, and
14 those persons in active concert or participation with any of them, who receive actual
15 notice of this Order, by personal service or otherwise, and each of them, be and hereby
16 are temporarily restrained and enjoined from, directly or indirectly, in the offer or sale
17 of any securities, by the use of any means or instruments of transportation or
18 communication in interstate commerce or by the use of the mails;

19 A. employing any device, scheme or artifice to defraud;
20 B. obtaining money or property by means of any untrue statement of a
21 material fact or any omission to state a material fact necessary in order to
22 make the statements made, in light of the circumstances under which
23 they were made, not misleading; or
24 C. engaging in any transaction, practice, or course of business which
25 operates or would operate as a fraud or deceit upon the purchaser;
26 in violation of Section 17(a) of the Securities Act, 15 U.S.C. § 77q(a).

27 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as
28 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also

1 binds the following who receive actual notice of this Order by personal service or
2 otherwise: (a) Defendants' officers, agents, servants, employees, and attorneys; and
3 (b) other persons in active concert or participation with any of the Defendants or with
4 anyone described in (a).

5 IV.

6 IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendants
7 NTV Financial and Nguyen are temporarily restrained and enjoined from

8 A. employing any device, scheme or artifice to defraud any client or
9 prospective client; and

10 B. engaging in any transaction, practice, or course of business which
11 operates or would operate as a fraud or deceit upon any client or
12 prospective client;

13 in violation of Sections 206(1) and (2) of the Advisers Act, 15 U.S.C. §§ 80b-6(1) &
14 80b-6(2).

15 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as
16 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also
17 binds the following who receive actual notice of this Order by personal service or
18 otherwise: (a) Defendants' officers, agents, servants, employees, and attorneys; and
19 (b) other persons in active concert or participation with any of the Defendants or with
20 anyone described in (a).

21 V.

22 IT IS FURTHER ORDERED that Defendants NTV Financial and Nguyen, and
23 their agents, servants, employees, attorneys, and affiliates, and those persons in active
24 concert or participation with any of them, who receive actual notice of this Order, by
25 personal service or otherwise, and each of them, be and hereby are temporarily
26 restrained and enjoined from, directly or indirectly, by the use of the mails or any
27 means or instrumentality of interstate commerce, while acting as an investment
28 adviser to a pooled investment vehicle:

1 A. making any untrue statement of a material fact or omitting to state a
2 material fact necessary to make the statements made, in the light of the
3 circumstances under which they were made, not misleading, to any
4 investor or prospective investor in a pooled investment vehicle;
5 B. engaging in any act, practice, or course of business that is fraudulent,
6 deceptive, or manipulative with respect to any investor or prospective
7 investor in a pooled investment vehicle;

8 in violation of Section 206(4) of the Advisers Act, 15 U.S.C. § 80b-6(4), and Rule
9 206(4)-8 thereunder, 17 C.F.R. § 275.206(4)-8.

10 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as
11 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also
12 binds the following who receive actual notice of this Order by personal service or
13 otherwise: (a) Defendants' officers, agents, servants, employees, and attorneys; and
14 (b) other persons in active concert or participation with any of the Defendants or with
15 anyone described in (a).

16 VI.

IT IS HEREBY FURTHER ORDERED that Defendants NTV Financial and Nguyen, and each of their agents, servants, employees and attorneys and those persons in active concert or participation with them who receive actual notice of this Order by personal service or otherwise, including facsimile transmission, electronic mail, or overnight delivery service, are hereby prohibited from directly or indirectly, including through any entity they own or control, accessing any securities brokerage account of any third-party, including doing so with the consent of the account holder.

24. VII.

IT IS FURTHER ORDERED that, except as otherwise ordered by this Court, an immediate freeze shall be placed on all monies and assets (with an allowance for necessary and reasonable living expenses to be granted only upon good cause shown by application to the Court with notice to and an opportunity for the SEC to be heard)

in all accounts at any bank, financial institution, brokerage firm, third-payment payment processor, held in the name of, for the benefit of, or over which account authority is held by Defendants NTV Financial and/or Nguyen, and/or by Relief Defendant Do, including but not limited to the accounts listed below:

INSTITUTION	ACCOUNT NAME/OWNER	ACCOUNT NO.
Bank Of America	NTV Financial Group Inc.	██████████ 8771
Bank Of America	NTV Financial Group Inc. DBA NTLF (Nguyen Tran Le Fund)	██████████ 8768
Bank Of America	Richard Nguyen DBA NTV Service Group	██████████ -1210
JP Morgan Chase	NTV Financial Group Inc.	██████████ 1135
JP Morgan Chase	Mai Do DBA NTV Business Group	██████████ 3619
Wells Fargo Bank	NTV Financial Group Inc. DBA NTLF (Nguyen Tran Le Fund)	██████████ 4464
Wells Fargo Bank	Richard Nguyen	██████████ 8738
Banc of California	Mai Do	██████████ -2032
US Bank	Richard Nguyen DBA NTV Service Group	██████████ 5338
Simple	Richard Nguyen	██████████ 1511
Charles Schwab	NTV Financial Group Inc.	██████████ 6464
Charles Schwab	Richard Nguyen	██████████ 8787
E*Trade	Mai Do	██████████ 3288
E*Trade	Mai Do	██████████ 1301
E*Trade	Mai Do	██████████ 2529
E*Trade	NTV Financial Group Inc.	██████████ 1412
E*Trade	Richard Nguyen	██████████ 9407
Interactive Brokers	NTV Financial Group Inc.	██████████ 9327
Merrill Lynch	Richard Nguyen	██████████ 1580
Apex Clearing/Ally Securities	Richard Nguyen	██████████ 10-11

Any bank, financial institution, brokerage firm, or third-party payment processor shall hold and retain within their control and prohibit the withdrawal, removal, transfer or other disposal of any such funds or other assets except as otherwise ordered by this Court.

VIII.

IT IS FURTHER ORDERED that, except as otherwise ordered by this Court, each of the Defendants and the Relief Defendant be and hereby are temporarily restrained and enjoined from, directly or indirectly: destroying, mutilating, concealing, transferring, altering, or otherwise disposing of, in any manner, any documents, which includes all books, records, computer programs, computer files, data objects existing in any state, computer printouts, contracts, emails, correspondence, memoranda, brochures, or any other documents of any kind in their possession, custody or control, however created, produced, or stored (manually, mechanically, electronically, or otherwise), and any accounts, account passwords, computer passwords, device PINs and passwords, pertaining in any manner to Defendants NTV Financial or Nguyen.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the following who receive actual notice of this Order by personal service or otherwise: (a) Defendants' officers, agents, servants, employees, and attorneys; and (b) other persons in active concert or participation with any of the Defendants or with anyone described in (a).

IX.

IT IS FURTHER ORDERED that Defendants and the Relief Defendant, within five days of the issuance of this Order, shall each prepare and deliver to the SEC a detailed and complete schedule of all of their assets, including all real and personal property exceeding \$5,000 in value, and all bank, securities, and other accounts identified by institution, branch address, and account number. The accounting shall include a description of the sources of all such assets. Such accounting shall be filed with the Court and a copy shall be delivered by email to millerdou@sec.gov and delivered by hand or overnight courier to the SEC to the attention of Douglas M. Miller, Trial Counsel, U.S. Securities and Exchange Commission, Los Angeles Regional Office, 444 South Flower Street, Suite 900, Los Angeles, California, 90071,

1 or such other place and person as counsel for the SEC may direct in writing. After
2 completion of the accounting, each of the Defendants shall produce to the SEC at a
3 time agreeable to the SEC, all books, records and other documents supporting or
4 underlying their accounting.

5 X.

6 IT IS FURTHER ORDERED that Jeffrey Brandlin is appointed as
7 temporary receiver of Defendant NTV Financial and its subsidiaries and affiliates,
8 and of all bank and brokerage accounts through which Defendants' investors and/or
9 clients' funds have flowed, including but not limited to the accounts set forth in
10 paragraph VII above (the "Subject Accounts") (collectively with NTV Financial and
11 its subsidiaries and affiliates, the "Receivership Entity"), with full powers of an
12 equity receiver, including, but not limited to, full power over all funds, assets,
13 collateral, premises (whether owned, leased, occupied, or otherwise controlled),
14 choses in action, books, records, papers and other property belonging to, being
15 managed by or in the possession of or control of Defendant NTV Financial, its
16 subsidiaries and affiliates, and/or the Subject Accounts, and that such receiver is
17 immediately authorized, empowered and directed:

18 A. to have access to and to collect and take custody, control, possession,
19 and charge of all funds, assets, collateral, premises (whether owned,
20 leased, occupied, or otherwise controlled), choses in action, books,
21 records, papers and other real or personal property, wherever located, of
22 or managed by Defendant NTV Financial, its subsidiaries and affiliates,
23 and/or the Subject Accounts, with full power to sue, foreclose, marshal,
24 collect, receive, and take into possession all such property (including
25 access to and taking custody, control, and possession of all such
26 Defendant NTV Financial property, and that of its subsidiaries and
27 affiliates NTV Financial and/or the Subject Accounts);

28 B. to have control of, and to be added as the sole authorized signatory for,

1 all accounts of the entities in receivership, including all accounts at any
2 bank, title company, escrow agent, financial institution or brokerage firm
3 (including any futures commission merchant) which has possession,
4 custody or control of any assets or funds of Defendant NTV Financial,
5 and that of its subsidiaries and affiliates and the Subject Accounts, or
6 which maintains accounts over which Defendant NTV Financial, its
7 subsidiaries and affiliates, and/or the Subject Accounts have signatory
8 authority;

9 C. to conduct such investigation and discovery as may be necessary to
10 locate and account for all of the assets of or managed by Defendant NTV
11 Financial, its subsidiaries and affiliates and/or the Subject Accounts, and
12 to engage and employ attorneys, accountants and other persons to assist
13 in such investigation and discovery;

14 D. to take such action as is necessary and appropriate to preserve and take
15 control of and to prevent the dissipation, concealment, or disposition of
16 any assets of or managed by Defendant NTV Financial, its subsidiaries
17 and affiliates and/or the Subject Accounts;

18 E. to make an accounting, as soon as practicable, to this Court and the SEC
19 of the assets and financial condition of Defendant NTV Financial, its
20 subsidiaries and affiliates and/or the Subject Accounts, and to file the
21 accounting with the Court and deliver copies thereof to all parties;

22 F. to make such payments and disbursements from the funds and assets
23 taken into custody, control, and possession or thereafter received by him
24 or her, and to incur, or authorize the making of, such agreements as may
25 be necessary and advisable in discharging his or her duties as temporary
26 receiver;

27 G. to employ attorneys, accountants, and others to investigate and, where
28 appropriate, to institute, pursue, and prosecute all claims and causes of

1 action of whatever kind and nature which may now or hereafter exist as
2 a result of the activities of present or past employees or agents of
3 Defendant NTV Financial, its subsidiaries and affiliates and/or the
4 Subject Accounts; and

5 H. to have access to and monitor all mail, electronic mail, and video phone
6 of the entities in receivership in order to review such mail, electronic
7 mail, and video phone which he or she deems relates to their business
8 and the discharging of his or her duties as temporary receiver.

9 **XI.**

10 IT IS FURTHER ORDERED that Defendant NTV Financial, its subsidiaries
11 and affiliates and the Subject Accounts, including all of the other entities in
12 receivership, and their officers, agents, servants, employees and attorneys, and any
13 other persons who are in custody, possession or control of any assets, collateral,
14 books, records, papers or other property of or managed by any of the entities in
15 receivership, shall forthwith give access to and control of such property to the
16 temporary receiver.

17 **XII.**

18 IT IS FURTHER ORDERED that no officer, agent, servant, employee or
19 attorney of Defendant NTV Financial, its subsidiaries and affiliates and/or the Subject
20 Accounts shall take any action or purport to take any action, in the name of or on
21 behalf of Defendant NTV Financial, its subsidiaries and affiliates and/or the Subject
22 Accounts, without the written consent of the temporary receiver or order of this
23 Court.

24 **XIII.**

25 IT IS FURTHER ORDERED that, except by leave of this Court, during the
26 pendency of this receivership, all clients, investors, trust beneficiaries, note holders,
27 creditors, claimants, lessors and all other persons or entities seeking relief of any
28 kind, in law or in equity, from Defendant NTV Financial, its subsidiaries and

1 affiliates and/or the Subject Accounts, and all persons acting on behalf of any such
2 investor, trust beneficiary, note holder, creditor, claimant, lessor, consultant group or
3 other person, including sheriffs, marshals, servants, agents, employees and attorneys,
4 are hereby restrained and enjoined from, directly or indirectly, with respect to these
5 persons and entities:

6 A. commencing, prosecuting, continuing or enforcing any suit or
7 proceeding (other than the present action by the SEC or any other action
8 by the government) against any of them;

9 B. using self-help or executing or issuing or causing the execution or
10 issuance of any court attachment, subpoena, replevin, execution or other
11 process for the purpose of impounding or taking possession of or
12 interfering with or creating or enforcing a lien upon any property or
13 property interests owned by or in the possession of Defendant NTV
14 Financial, its subsidiaries and affiliates and/or the Subject Accounts; and

15 C. doing any act or thing whatsoever to interfere with taking control,
16 possession or management by the temporary receiver appointed
17 hereunder of the property and assets owned, controlled or managed by or
18 in the possession of Defendant NTV Financial, its subsidiaries and
19 affiliates and/or the Subject Accounts, or in any way to interfere with or
20 harass the temporary receiver or his or her attorneys, accountants,
21 employees, or agents or to interfere in any manner with the discharge of
22 the temporary receiver's duties and responsibilities hereunder.

23 **XIV.**

24 IT IS FURTHER ORDERED that Defendant NTV Financial and its
25 subsidiaries and affiliates, and their officers, agents, servants, employees and
26 attorneys, and the Subject Accounts, shall cooperate with and assist the temporary
27 receiver and shall take no action, directly or indirectly, to hinder, obstruct, or
28 otherwise interfere with the temporary receiver or his or her attorneys, accountants,

1 employees or agents, in the conduct of the temporary receiver's duties or to interfere
2 in any manner, directly or indirectly, with the custody, possession, management, or
3 control by the temporary receiver of the funds, assets, collateral, premises, and choses
4 in action described above.

5 **XV.**

6 IT IS FURTHER ORDERED that Defendant NTV Financial and its
7 subsidiaries and affiliates shall pay the costs, fees and expenses of the temporary
8 receiver incurred in connection with the performance of his or her duties described in
9 this Order, including the costs and expenses of those persons who may be engaged or
10 employed by the temporary receiver to assist him or her in carrying out his or her
11 duties and obligations. All applications for costs, fees, and expenses for services
12 rendered in connection with the receivership other than routine and necessary
13 business expenses in conducting the receivership, such as salaries, rent, and any and
14 all other reasonable operating expenses, shall be made by application setting forth in
15 reasonable detail the nature of the services and shall be heard by the Court.

16 **XVI.**

17 IT IS FURTHER ORDERED that no bond shall be required in connection with
18 the appointment of the temporary receiver. Except for an act of gross negligence, the
19 temporary receiver shall not be liable for any loss or damage incurred by any of the
20 defendants, their officers, agents, servants, employees and attorneys or any other
21 person, by reason of any act performed or omitted to be performed by the temporary
22 receiver in connection with the discharge of his or her duties and responsibilities.

23 **XVII.**

24 IT IS FURTHER ORDERED that representatives of the SEC and any other
25 government agency are authorized to have continuing access to inspect or copy any
26 or all of the corporate books and records and other documents of Defendant NTV
27 Financial, its subsidiaries and affiliates and/or the Subject Accounts, and continuing
28 access to inspect their funds, property, assets and collateral, wherever located.

XVIII.

IT IS FURTHER ORDERED that the SEC's application for expedited discovery concerning Defendants and the Relief Defendant, their assets and activities, is granted and that, commencing with the time and date of this Order, in lieu of the time periods, notice provisions, and other requirements of Rules 26, 30, 33, 34, 36, and 45 of the Federal Rules of Civil Procedure and the corresponding Local Rules of this Court, discovery shall proceed as follows:

(A) Pursuant to Rule 30(a) and Rule 45 of the Federal Rules of Civil Procedure, the SEC may take depositions upon oral examination on two days' notice of any such deposition. Depositions may be taken Monday through Saturday. As to the Defendants and the Relief Defendant, and their agents, servants, promoters, employees, brokers, and associates, and any person who transferred money to or received money from any account(s) at any of the bank, financial institution, brokerage firm, or third-payment payment processor, the SEC may depose such witnesses after serving a deposition notice by facsimile, hand or overnight courier upon such individuals, and without serving a subpoena on such witness. Depositions that have not been signed by the witness may be used for purposes of the hearing on the SEC's application for preliminary injunction.

(B) Pursuant to Rule 33(a) of the Federal Rules of Civil Procedure, each Defendant and the Relief Defendant shall answer the SEC's interrogatories within three days of service of such interrogatories upon Defendant.

(C) Pursuant to Rule 34(b) of the Federal Rules of Civil Procedure, each Defendant and the Relief Defendant shall produce all documents requested by the SEC within three days of service of such request, with production of the documents made to Douglas M. Miller, Trial Counsel, U.S. Securities and Exchange Commission, Los Angeles Regional Office, 444 S. Flower Street, Suite 900, Los Angeles, California 90071, or such person or place as counsel for the SEC may direct in writing.

(D) Pursuant to Rule 36(a) of the Federal Rules of Civil Procedure, each Defendant and the Relief Defendant shall respond to the SEC's requests for admissions within three days of such requests;

(E) Pursuant to Rule 45 of the Federal Rules of Civil Procedure, each third party shall respond to the SEC's subpoenas for documents within three days of such requests;

(F) All written responses to the SEC's requests for discovery under the Federal Rules of Civil Procedure shall be delivered by email to millerdou@sec.gov and delivered by hand or overnight courier to the SEC to the attention of Douglas M. Miller, Trial Counsel, U.S. Securities and Exchange Commission, Los Angeles Regional Office, 444 S. Flower Street, Suite 900, Los Angeles, California 90071, or such other place and person as counsel for the SEC may direct in writing;

(F) Written discovery propounded and depositions taken pursuant to this section of this Order shall not count against the limitations on the number or duration of written discovery and depositions set forth in the Federal Rules of Civil Procedure; and

(G) All discovery requests may be served via email or by hand on counsel for the parties.

IX.

IT IS FURTHER ORDERED that this Temporary Restraining Order shall expire at noon on June 28, 2019 unless for good cause shown it is extended or the parties against whom it is directed consent that it may be extended for a longer period.

XX.

IT IS FURTHER ORDERED that at 10:00 a.m. on June 24, 2019, or as soon thereafter as the parties may be heard, the Defendants, and each of them, shall appear before the Honorable Andrew J. Guilford, Judge of the United States District Court for the Central District of California, to show cause, if there be any,

1 why a preliminary injunction should not be granted. Any declarations, affidavits,
2 points and authorities, or other submissions in support of, or in opposition to, the
3 issuance of such an Order shall be filed with the Court and delivered by email to
4 millerdou@sec.gov and by hand or overnight courier to the SEC to the attention of
5 Douglas M. Miller, Trial Counsel, U.S. Securities and Exchange Commission, Los
6 Angeles Regional Office, 444 S. Flower St., Suite 900, Los Angeles, California
7 90071, and served via email, facsimile, or by hand to the offices of the Defendants or
8 their attorneys no later than noon on June 18, 2019. Any reply papers shall be
9 filed with the Court and served via email, facsimile, or by hand to opposing counsel
10 no later than noon on June 20, 2019.

11 **XXI.**

12 IT IS FURTHER ORDERED that this Court shall retain jurisdiction over this
13 action for the purpose of implementing and carrying out the terms of all orders and
14 decrees which may be entered herein and to entertain any suitable application or
15 motion for additional relief within the jurisdiction of this Court.

16
17 **IT IS SO ORDERED.**

18
19 Dated: JUNE 14, 2019

20 
UNITED STATES DISTRICT JUDGE

21
22
23 Presented by:
24 Douglas M. Miller
25 Kelly C. Bowers
26 Attorneys for Plaintiff
27 Securities and Exchange Commission
28