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10 Attorney for Defendants Richard Vu Nguyen a/k/a Nguyen Thanh Vu and Mai Do

11 **UNITED STATES DISTRICT COURT**
12 **FOR THE CENTRAL DISTRICT OF CALIFORNIA**
13 **SOUTHERN DIVISION**

14
15 SECURITIES AND EXCHANGE
16 COMMISSION,

17 Plaintiff,

18 vs.

19
20 RICHARD VU NGUYEN, A/K/A
21 NGUYEN THANH VU, and NTV
22 FINANCIAL GROUP, INC.,

23 Defendants,

24 and

25 MAI DO,

26
27 Relief Defendant

Civil Action No.: 8:19-cv-01174-AG-KES

Honorable Andrew J. Guilford

**NOTICE OF DISCLOSURE OF
DEFENDANTS RICHARD V.
NGUYEN AND MAI DO'S ASSETS
PURSUANT TO PRELIMINARY
INJUNCTION ORDER (Dkt. 25)**

**Complaint Filed: 6/13/18
Trial Date: None Set**

**TO THE HONORABLE COURT, ALL PARTIES, AND THEIR
ATTORNEYS OF RECORD:**

PLEASE TAKE NOTICE that Defendants Richard V. Nguyen and Mai Do hereby submit the following list of real and personal property assets pursuant to the July 3, 2019, Preliminary Injunction Order (Dkt. 25) and Order re: Stipulation to Continue Deadline to Submit List of Assets (Dkt. 31).

I. REAL PROPERTY

| Address | Source of Asset |
|--|--|
| 1. [REDACTED], Garden Grove, CA 92841 | Obtained prior to NTV Financial Group began business |
| 2. [REDACTED], Santa Ana, CA 92706 | Income from sources outside of NTV Financial Group |
| 3. [REDACTED], Santa Ana, CA 92706 | Income from sources outside of NTV Financial Group |
| 4. [REDACTED], Unit B, Santa Ana, CA 92706 | Income from sources outside of NTV Financial Group |

II. PERSONAL PROPERTY

| Item | Source of Asset |
|------------------------------|--|
| 1. 2007 Porsche 911 | Income from sources outside of NTV Financial Group |
| 2. 2013 Ferrari 458 | Income from sources outside of NTV Financial Group |
| 3. 2018 Toyota Tacoma Pickup | Income from sources outside of NTV Financial Group |

| | |
|--------------------------------------|--|
| 4. 2019 Honda Odyssey Van | Income from sources outside of NTV Financial Group |
| 5. 2002 Maserati Spyder | Obtained prior to NTV Financial Group began business |
| 6. 2006 BMW 750il | Obtained prior to NTV Financial Group began business |
| 7. 2007 Harley Roadking | Obtained prior to NTV Financial Group began business |
| 8. 2005 Harley Softail | Income from sources outside of NTV Financial Group |
| 9. 2019 Harley Davidson Street Glide | Income from sources outside of NTV Financial Group |
| 10.2003 Chopper | Obtained prior to NTV Financial Group began business |
| 11.2015 Honda Accord | Obtained prior to NTV Financial Group began business |

III. ACCOUNTS

| Bank/Account Number | Source of Asset |
|---|---------------------|
| 1. Bank Of America NTV Financial Group Inc.; # [REDACTED]-8771 | NTV Financial Group |
| 2. Bank Of America NTV Financial Group Inc. DBA NTLF (Nguyen Tran Le Fund); # [REDACTED]-8768 | NTV Financial Group |

| | |
|--|---|
| 3. Bank Of America Richard Nguyen DBA NTV Service Group; # [REDACTED]-1210 | NTV Financial Group |
| 4. JP Morgan Chase NTV Financial Group Inc.;; # [REDACTED] 1135 | NTV Financial Group |
| 5. JP Morgan Chase Mai Do DBA NTV Business Group; # [REDACTED] 3619 | NTV Financial Group |
| 6. Wells Fargo Bank NTV Financial Group Inc. DBA NTLF(Nguyen Tran Le Fund); # [REDACTED] 4464 | NTV Financial Group |
| 7. Wells Fargo Bank Richard Nguyen; # [REDACTED] 8738 | Income from sources outside of NTV Financial Group |
| 8. Banc of California Mai Do; # [REDACTED]-2032 | Income from sources outside of NTV Financial Group |
| 9. US Bank Richard Nguyen DBA NTV Service Group; #1 [REDACTED] 5338 | NTV Financial Group |
| 10.Simple Richard Nguyen; # [REDACTED] 1511 | Income from sources outside of NTV Financial Group |
| 11.Charles Schwab NTV Financial Group Inc.; # [REDACTED]- 6464 | NTV Financial Group |

| | |
|--|---|
| 12.Charles Schwab Richard Nguyen; # [REDACTED]-8787 | Income from sources outside of NTV Financial Group |
| 13.E*Trade Mai Do; # [REDACTED]- 3288 | Income from sources outside of NTV Financial Group |
| 14.E*Trade Mai Do; # [REDACTED]- 1301 | Income from sources outside of NTV Financial Group |
| 15.E*Trade Mai Do; # [REDACTED]- 2529 | Income from sources outside of NTV Financial Group |
| 16.E*Trade NTV Financial Group Inc.; # [REDACTED]-1412 | NTV Financial Group |
| 17.E*Trade Richard Nguyen; # [REDACTED]-9407 | Income from sources outside of NTV Financial Group |
| 18.Interactive Brokers NTV Financial Group Inc.; # [REDACTED] 9327 | NTV Financial Group |
| 19.Merrill Lynch Richard Nguyen; # [REDACTED] 1580 | Income from sources outside of NTV Financial Group |
| 20.Richard Nguyen; # [REDACTED] [REDACTED] 10-11 | Income from sources outside of NTV Financial Group |

IV. BUSINESS

| Name of Business | Source of Asset |
|-----------------------|--|
| 1. Pho Moc Restaurant | Mai Do purchased 3 years ago for \$80,000 |

1
2 Dated: July 15, 2019

LAW OFFICES OF MIKE N. VO, APLC

3 /s/Mike N. Vo

4 Mike N. Vo (SBN 216340)

5 Attorneys for Defendants Richard Nguyen
6 and Mai Do
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CERTIFICATE OF SERVICE

I hereby certify that on July 15, 2019, I electronically filed the foregoing
“**NOTICE OF DISCLOSURE OF DEFENDANTS RICHARD V. NGUYEN AND MAI
DO’S ASSETS**” with the Clerk of the Court using the CM/ECF system which will send
notification of such filing via electronic mail to all counsel of record.

/s/ Mike N. Vo

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