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8

9 **UNITED STATES DISTRICT COURT**  
10 **CENTRAL DISTRICT OF CALIFORNIA**  
11 **SOUTHERN DIVISION**

12 **SECURITIES AND EXCHANGE**  
13 **COMMISSION,**

14 **Plaintiff,**

15 **vs.**

16 **JUSTIN ROBERT KING; AND**  
17 **ELEVATE INVESTMENTS, LLC,**

18 **Defendants,**

19 **SHANNON LEIGH KING,**

20 **Relief Defendant.**  
21  
22  
23  
24  
25  
26  
27  
28

Case No. 8:20-cv-02398-JVS-DFM

**DECLARATION OF CAROL KIM  
IN SUPPORT OF PLAINTIFF  
SECURITIES AND EXCHANGE  
COMMISSION'S REPLY  
MEMORANDUM IN SUPPORT OF  
ORDER TO SHOW CAUSE RE  
PRELIMINARY INJUNCTION  
AND APPOINTMENT OF A  
PERMANENT RECEIVER**

**DECLARATION OF CAROL KIM**

I, Carol Kim, declare pursuant to 28 U.S.C. § 1746 as follows:

1. I have personal knowledge of each of the matters set forth below, and if called upon as a witness I could and would competently testify as to the facts stated herein.

2. I am a certified public accountant employed by Plaintiff Securities and Exchange Commission (the “SEC”) in its Los Angeles Regional Office. I have been employed by the SEC within the Division of Enforcement since August 2008. I have been licensed with the State of California since 2008. I have been a certified fraud examiner since 2011.

3. In the course of my duties with the SEC, I analyze bank records, financial records, and other books and records of companies, I make calculations and observations based upon those records, and conduct related inquiries and investigations. The documents that I analyze in the course of my duties with the SEC are of the type reasonably relied upon by accountants in forming opinions and inferences about, among other things, the finances of a company and its sources and uses of money.

**Bank Account Reviewed**

4. Pursuant to my duties as an accountant with the SEC, I reviewed certain bank records produced to the SEC, including underlying detail such as account statements, for the following accounts:

a. JPMorgan Chase Account No. XXXXXXXXXXXX8635 in the name of Shannon Leigh King or Justin R King (hereinafter “King Chase x8635” account) for the period September 5, 2020 through December 4, 2020; and

b. JPMorgan Chase Account No. XXXXXXXXXXXX8687 in the name of Area Auto Glass LLC (hereinafter “Area Auto Glass x8687”

1 account) for the period September 5, 2020 through December 4, 2020.

2 5. A true and correct copy of the declaration of the custodian of records  
3 for Chase which was produced to the SEC is attached as Exhibit 1.

4 6. Based on my review of the signature cards for the King Chase x8635  
5 account, I have determined that Shannon King and Justin King were the authorized  
6 signatories on the account since April 24, 2017. True and correct copies of the  
7 signature cards which were produced to the SEC are attached as Exhibit 2.

8 7. Based on my review of the signature cards for the Area Auto Glass  
9 x8687 account, I have determined that Justin R King was an authorized signatory  
10 since August 24, 2017 and Shannon L King was an authorized signatory since  
11 August 28, 2017 on the account. True and correct copies of the signature cards  
12 which were produced to the SEC are attached as Exhibit 3.

13 **Categorization of Deposits and Disbursements**

14 8. Based on my review of the King Chase x8635 and Area Auto Glass  
15 x8687 accounts for the period September 5, 2020 through December 4, 2020, I  
16 created Excel spreadsheets that summarized certain deposits to and disbursements  
17 from these accounts. I categorized these deposits and disbursements by utilizing  
18 the following categories:

- 19 a. Schwab: this category represents deposits that appear to be  
20 Moneylink transfers from Schwab Brokerage. Based on my  
21 review of certain bank records produced to the SEC for Charles  
22 Schwab Account No. XXXX-5708 in the name of Justin Robert  
23 King (hereinafter "J. King Schwab x5708" account) for the  
24 period September 1, 2020 through December 1, 2020, these  
25 Schwab deposits appear to be from the J. King Schwab x5708  
26 account. That account included funds that I had previously  
27 categorized as Investors on the basis that those funds did not  
28

1 appear to be related to Justin King, Shannon King, Area Auto  
2 Glass LLC, Clear Auto Glass LLC, AZ Investment Kings, Z  
3 Partners, Opulent LLC, Elevate Investments LLC, Elevate  
4 Investments a Sole Proprietorship, Individual J, or Individual C.

- 5 b. Transfer x8635: this category represents transactions that  
6 appear to be online transfers with the King Chase x8635  
7 account.
- 8 c. Transfer x8687: this category represents transactions that  
9 appear to be online transfers with the Area Auto Glass x8687  
10 account.
- 11 d. Investors: this category represents disbursements that appear to  
12 be to individuals with similar names as those on an Elevate  
13 Investments Schedule of Investors. This category does not  
14 include transactions with Alan Pekacik. However, the name  
15 Alan Pekacik is on the Elevate Investments Schedule of  
16 Investors.
- 17 e. Alan Pekacik: this category represents transactions that appear  
18 to be with Alan Pekacik, Alan S Pekarcik Ttee, or Karen A  
19 Pekarcik Ttee. The transactions are two monthly disbursements  
20 of \$7,000 each to Alan Pekacik, one \$6,000 disbursement to  
21 Alan Pekacik, and one deposit of \$7,700 from an account in the  
22 name of Alan S Pekarcik Ttee, or Karen A Pekarcik Ttee. The  
23 memo line on the deposit reads "Reimburse Balance of Security  
24 Deposit."
- 25 f. American Express: this category represents disbursements that  
26 appear to be to American Express.
- 27 g. Bryson Menke: this category represents disbursements that  
28

1 appear to be to Bryson Menke, relationship unknown.

2 h. Capital One: this category represents disbursements that appear  
3 to be to Capital One.

4 i. Chase card x5926: this category represents disbursements that  
5 appear to be payments to a Chase card ending in 5926.

6 j. Citi: this category represents disbursements that appear to be to  
7 Citi card online or Citi autopay.

8 k. Discover: this category represents disbursements that appear to  
9 be to Discover.

10 l. Fidelity: this category represents deposits that appear to be from  
11 Fidelity Brokerage Services.

12 m. Loanme 4: this category represents disbursements that appear to  
13 be to Loanme 4.

14 n. Mbfs.com: this category represents disbursements that appear  
15 to be to Mbfs.com. I looked up that website, and it is the  
16 website for Mercedes-Benz Financial Services.

17 o. Nathan Pettit: this category represents disbursements that  
18 appear to be to Nathan Pettit, relationship unknown.

19 p. Nordstrom: this category represents transactions that appear to  
20 be with Nordstrom.

21 q. Paypal: this category represents deposits that appear to be from  
22 Paypal.

23 r. San Clemente Villas By The Sea: this category represents  
24 disbursements that appear to be to San Clemente Villas By The  
25 Sea. I googled that name and based on my search, it is an  
26 assisted living community for seniors.

27 s. Toyota: this category represents disbursements that appear to be  
28

1 payments for a Toyota financial lease.

2 t. Xue Ji: this category represents disbursements that appear to be  
3 to Xue Ji. The memo line for the check payable to Xue Ji  
4 indicates that this payment is for rent on the Paseo Infinita  
5 address from 11/15-12/15.

6 u. Other: this category represents transactions that do not fall into  
7 the categories described above.

### 8 **Deposits into King Chase x8635**

9 9. Based on my review of the King Chase x8635 account, I have made  
10 the following observations and calculations regarding deposits made to this  
11 accounts. I calculated that this account received approximately \$413,834 for the  
12 period September 5, 2020 through December 4, 2020, which I categorized as  
13 follows:

- 14 a. \$393,000 from Schwab;
- 15 b. \$13,000 from Fidelity;
- 16 c. \$4,200 from Transfer x8687;
- 17 d. \$129 from Nordstrom; and
- 18 e. \$3,505 from Other.

19 The beginning balance in the account on September 5, 2020 was \$9,039.51.

### 20 **Disbursements from King Chase x8635**

21 10. Based on my review of the King Chase x8635 account, I have made  
22 the following observations and calculations regarding disbursements made from  
23 this account. I calculated that this account disbursed approximately \$349,600 for  
24 the period from September 5, 2020 through December 4, 2020, which I categorized  
25 as follows:

- 26 a. \$198,500 to Transfer x8687;
- 27 b. \$94,000 to Investors;

- c. \$17,500 to Bryson Menke;
- d. \$11,429 to Chase card x5926;
- e. \$7,627 to Capital One;
- f. \$5,000 to Nathan Pettit;
- g. \$1,908 to Toyota;
- h. \$1,543 to Nordstrom; and
- i. \$12,093 to Other.

#### **Deposits into Area Auto Glass x8687**

11. Based on my review of the Area Auto Glass x8687 account, I have made the following observations and calculations regarding deposits made to this accounts. I calculated that this account received approximately \$222,227 for the period September 5, 2020 through December 4, 2020, which I categorized as follows:

- a. \$198,500 from Transfer x8635;
- b. \$11,890 from Paypal;
- c. \$7,700 from Alan Pekacik; and
- d. \$4,137 from Other.

The ending balance in the account on September 4, 2020 was \$13,412.41.

#### **Disbursements from Area Auto Glass x8687**

12. Based on my review of the Area Auto Glass x8687 account, I have made the following observations and calculations regarding disbursements made from this account. I calculated that this account disbursed approximately \$223,211 for the period from September 5, 2020 through December 4, 2020, which I categorized as follows:

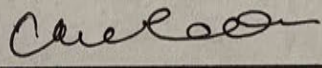
- a. \$36,600 to Bryson Menke;
- b. \$28,312 to Citi;
- c. \$24,634 to Loanme 4;



- d. \$20,000 to Alan Pekacik;
- e. \$14,771 to Discover;
- f. \$14,539 to Capital One;
- g. \$11,200 to San Clemente Villas By the Sea;
- h. \$10,363 to Chase card x5926;
- i. \$8,342 to American Express;
- j. \$7,000 to Xue Ji;
- k. \$4,200 to Transfer x8635;
- l. \$3,243 to Mbfs.com; and
- m. \$40,007 to Other.

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Executed this 14th day of January, 2021 in Los Angeles, California.

  
\_\_\_\_\_  
Carol Kim



**PROOF OF SERVICE**

I am over the age of 18 years and not a party to this action. My business address is:

U.S. SECURITIES AND EXCHANGE COMMISSION,  
444 S. Flower Street, Suite 900, Los Angeles, California 90071  
Telephone No. (323) 965-3998; Facsimile No. (213) 443-1904.

On January 14, 2021, I caused to be served the documents entitled **DECLARATION OF CAROL KIM** on all the parties to this action addressed as stated on the attached service list:

☐ **OFFICE MAIL:** By placing in sealed envelope(s), which I placed for collection and mailing today following ordinary business practices. I am readily familiar with this agency's practice for collection and processing of correspondence for mailing; such correspondence would be deposited with the U.S. Postal Service on the same day in the ordinary course of business.

☐ **PERSONAL DEPOSIT IN MAIL:** By placing in sealed envelope(s), which I personally deposited with the U.S. Postal Service. Each such envelope was deposited with the U.S. Postal Service at Los Angeles, California, with first class postage thereon fully prepaid.

☐ **EXPRESS U.S. MAIL:** Each such envelope was deposited in a facility regularly maintained at the U.S. Postal Service for receipt of Express Mail at Los Angeles, California, with Express Mail postage paid.

☐ **HAND DELIVERY:** I caused to be hand delivered each such envelope to the office of the addressee as stated on the attached service list.

☒ **UNITED PARCEL SERVICE:** By placing in sealed envelope(s) designated by United Parcel Service ("UPS") with delivery fees paid or provided for, which I deposited in a facility regularly maintained by UPS or delivered to a UPS courier, at Los Angeles, California.

☒ **ELECTRONIC MAIL:** By transmitting the document by electronic mail to the electronic mail address as stated on the attached service list.

☐ **E-FILING:** By causing the document to be electronically filed via the Court's CM/ECF system, which effects electronic service on counsel who are registered with the CM/ECF system.

☐ **FAX:** By transmitting the document by facsimile transmission. The transmission was reported as complete and without error.

I declare under penalty of perjury that the foregoing is true and correct.

Date: January 14, 2021

/s/ Lynn M. Dean

Lynn M. Dean

*SEC v. Justin Robert King, et al.*  
**United States District Court—Central District of California**  
**Case No. 8:20-cv-02398-JVS-DFM**

**SERVICE LIST**

Jennifer Trowbridge  
[Jennifer.trowbridge@jackolg.com](mailto:Jennifer.trowbridge@jackolg.com)

Robert Conca  
[Robert.conca@jackolg.com](mailto:Robert.conca@jackolg.com)

Jacko Law Group, PC  
1350 Columbia Avenue  
San Diego, CA 92101

*Attorneys for Defendant Elevate Investments LLC*

Justin Robert King

[REDACTED]

*Pro Se Defendant*

Shannon Leigh King

[REDACTED]

*Pro Se Relief-Defendant*

# **EXHIBIT 1**

**DECLARATION**

**Case No. : 8:20-cv-02398-JVS-DFM**

David M Henson, certifies and declares as follows:

1. I am over the age of 18 years and not a party to this action.
2. My business address is 7610 West Washington Street, Indianapolis, Indiana 46231.
3. I am a Transactions Specialist IV and Custodian of Records for JPMorgan Chase Bank, N.A. (hereinafter referred to as the "Bank") in the National Subpoena Processing Department located in Indianapolis, Indiana.
4. Based on my knowledge of the Bank's business records practices and procedures, the enclosed records are a true and correct copy of the original documents kept by the Bank in the ordinary course of business.
5. Based on my knowledge of the Bank's business records practices and procedures, the records were made at or near the time of the occurrence of the matters set forth in the records by, or from, information transmitted by a person with knowledge of those matters.
6. It is the regular practice of the Bank to make such a record of transactions in the ordinary course of business.

I declare under penalty of perjury, under the laws of the State of California, that the foregoing is true and correct.

Dated: January 7, 2021

By: 

David M Henson  
Transactions Specialist IV  
JPMORGAN CHASE BANK, N.A.

SB1190796-F1

SUBP91a

# **EXHIBIT 2**



## Personal Signature Card

ACCOUNT TITLE  
SHANNON L KINGACCOUNT NUMBER [REDACTED]  
TAX RESPONSIBLE ID # [REDACTED]  
ACCOUNT TYPE Chase Premier Plus CheckingDATE OPENED 03/15/2016  
ISSUED BY New Account  
JPMorgan Chase Bank, N.A. (601)  
Baltimore  
108  
MATTHEW D OCONNOR  
(602) 261-1890  
03/15/2016PERSONAL ADDRESS [REDACTED]  
United States/US Territories

TYPE OF OWNERSHIP Individual

TAXPAYER ID#	DATE OF BIRTH	PRIMARY ID TYPE	PRIMARY ID NUMBER	ISSUER	ISSUANCE DATE	EXP DATE	SECONDARY ID TYPE	SECONDARY ID NUMBER	ISSUER	ISSUANCE DATE	EXP DATE
1) [REDACTED]	[REDACTED]	Driver's License	[REDACTED]	AZ	[REDACTED]	[REDACTED]	Soc Sec Card	[REDACTED]	USA	[REDACTED]	[REDACTED]
2)											
3)											
4)											
5)											
6)											
7)											
8)											

## CUSTOMER(S) TO BE ADDED LATER

**ACKNOWLEDGEMENT** - By signing this Signature Card, I am applying to the JPMorgan Chase Bank, N.A. (the Bank) to open the deposit account and/or Chase Liquid® indicated above. I certify that the information provided herein is true to the best of my knowledge and authorize the Bank, at its discretion, to obtain credit reports and employment verifications on me. I acknowledge receipt of the Bank's Deposit Account Agreement and other applicable account agreement or the Chase Liquid Agreement, which includes all provisions that apply to this deposit account and/or Chase Liquid Card and the Bank Privacy Policy, and agree to be bound by the terms and conditions contained therein as amended from time to time. For joint accounts, I agree that all parties are responsible for any overdraft of any amount due to the return of a deposited check without condition or limitation.

Exemption from FATCA reporting code (if any) \_\_\_\_\_ (According to the IRS Form W-9 instructions, if you are only submitting this form for an account you hold in the United States, you may leave this field blank.)

**CERTIFICATION** - I certify under penalties of perjury that (1) the Taxpayer Identification Number shown above is correct, and (2) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and (3) I am a U.S. citizen or other U.S. person (as defined in the Form W-9 instructions), and (4) the FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

If the IRS has notified you that you are subject to backup withholding due to underreporting interest or dividends on your tax return, cross out item 2 above. The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

\*\* When you give us your mobile phone number, we have your permission to contact you at that number about all your Chase or J.P. Morgan accounts. Your consent allows us to use text messaging, artificial or prerecorded voice messages and automatic dialing technology for informational and account service calls, but not for telemarketing or sales calls. It may include contact from companies working on our behalf to service your accounts. Message and data rates may apply. You may contact us anytime to change these preferences.



Name	Date	**Telephone Number	Signature
1) SHANNON L KING	3-15-16	[REDACTED]	
2)			

\* Tax Responsibility Indicator

Rev 3/15 v2

Page 1 of 1







### Personal Electronic Signature Card

TAX RESPONSIBLE ID # [REDACTED]

DATE OPENED 04/24/2017

## PERSONAL ADDRESS

[REDACTED]

United States/US Territories

ISSUED BY JPMorgan Chase Bank, N.A.

Biltmore

BRANCH CITY/STATE Phoenix ( AZ )

BANK # BRANCH 108

MATTHEW D OCONNOR

PHONE # (602) 261-1890

TYPE OF OWNERSHIP Joint

ACCOUNT TITLE	ACCOUNT NUMBER / ACCOUNT TYPE
SHANNON LEIGH KING	[REDACTED] 680 CHASE PLUS SAVINGS
OR JUSTIN R KING	[REDACTED] 635 PREMIER PLUS CKG

#### CUSTOMER(S) TO BE ADDED LATER:

**ACKNOWLEDGEMENT** - By signing this Signature Card, I am applying to the JPMorgan Chase Bank, N.A. (the Bank) to open the deposit account and/or Chase Liquid<sup>®</sup> indicated above. I certify that the information provided hereon is true to the best of my knowledge and authorize the Bank, at its discretion, to obtain credit reports and employment verifications on me. I acknowledge receipt of the Bank's Deposit Account Agreement or other applicable account agreement or the Chase Liquid Agreement, which includes all provisions that apply to this deposit account and/or Chase Liquid Card and the Bank Privacy Policy, and agree to be bound by the terms and conditions contained therein as amended from time to time. For joint accounts, I agree that all parties are responsible for any overdraft of any amount due to the return of a deposited check without condition or limitation.

Exemption from FATCA reporting code (if any) [According to the IRS Form W-9 instructions, if you are only submitting this form for an account you hold in the United States, you may leave this field blank.]

**CERTIFICATION** - I certify under penalties of perjury that (1) the Taxpayer Identification Number shown above is correct, and (2) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and (3) I am a U.S. citizen or other U.S. person (as defined in the Form W-9 instructions), and (4) the FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.



Has the IRS notified you that you are subject to backup withholding?



No. I am not subject to backup withholding.



Yes. The IRS has informed me that I am subject to backup withholding. As a result, I am not making a certification with respect to item 2, above.

*The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.*

	NAME	**TELEPHONE NUMBER	ELECTRONIC SIGNATURE
1*)	SHANNON L KING	[REDACTED]	SHANNON KING on 2017-04-24 14:23:38 EDT
2)	JUSTIN R KING	[REDACTED]	JUSTIN KING on 2017-04-24 14:24:39 EDT
3)			
4)			
5)			
6)			
7)			
8)			

\*Tax Responsibility Indicator

**\*\* When you give us your mobile phone number, we have your permission to contact you at that number about all your Chase or J.P. Morgan accounts. Your consent allows us to use text messaging, artificial or prerecorded voice messages and automatic dialing technology for informational and account service calls, but not for telemarketing or sales calls. It may include contact from companies working on our behalf to service your accounts. Message and data rates may apply. You may contact us anytime to change these preferences.**



	1	2	3	4
TAXPAYER ID#	[REDACTED]	[REDACTED]		
DATE OF BIRTH	[REDACTED]	[REDACTED]		
PRIMARY ID TYPE	Driver's License	Driver's License		
PRIMARY ID NUMBER	[REDACTED]	[REDACTED]		
ISSUER	AZ	AZ		
ISSUANCE DATE	[REDACTED]	[REDACTED]		
EXPIRATION DATE	[REDACTED]	[REDACTED]		
SECONDARY ID TYPE	Soc Sec Card	Soc Sec Card		
SECONDARY IDNUMBER	[REDACTED]	[REDACTED]		
ISSUER	USA	USA		
ISSUANCE DATE				
EXPIRATION DATE				

	5	6	7	8
TAXPAYER ID#				
DATE OF BIRTH				
PRIMARY ID TYPE				
PRIMARY ID NUMBER				
ISSUER				
ISSUANCE DATE				
EXPIRATION DATE				
SECONDARY ID TYPE				
SECONDARY IDNUMBER				
ISSUER				
ISSUANCE DATE				
EXPIRATION DATE				

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Page 3 of 3

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### Personal Electronic Signature Card

TAX RESPONSIBLE ID # [REDACTED]

DATE OPENED 04/24/2017

PERSONAL ADDRESS

ISSUED BY JPMorgan Chase Bank, N.A.

Biltmore

BRANCH CITY/STATE Phoenix ( AZ )

BANK # BRANCH 108

MATTHEW D OCONNOR

PHONE # (602) 261-1890

TYPE OF OWNERSHIP Joint

ACCOUNT TITLE	ACCOUNT NUMBER / ACCOUNT TYPE
SHANNON LEIGH KING	[REDACTED] 680 CHASE PLUS SAVINGS
OR JUSTIN R KING	[REDACTED] 635 PREMIER PLUS CKG

#### CUSTOMER(S) TO BE ADDED LATER:

**ACKNOWLEDGEMENT** - By signing this Signature Card, I am applying to the JPMorgan Chase Bank, N.A. (the Bank) to open the deposit account and/or Chase Liquid<sup>®</sup> indicated above. I certify that the information provided hereon is true to the best of my knowledge and authorize the Bank, at its discretion, to obtain credit reports and employment verifications on me. I acknowledge receipt of the Bank's Deposit Account Agreement or other applicable account agreement or the Chase Liquid Agreement, which includes all provisions that apply to this deposit account and/or Chase Liquid Card and the Bank Privacy Policy, and agree to be bound by the terms and conditions contained therein as amended from time to time. For joint accounts, I agree that all parties are responsible for any overdraft of any amount due to the return of a deposited check without condition or limitation.

Exemption from FATCA reporting code (if any) [According to the IRS Form W-9 instructions, if you are only submitting this form for an account you hold in the United States, you may leave this field blank.]

**CERTIFICATION** - I certify under penalties of perjury that (1) the Taxpayer Identification Number shown above is correct, and (2) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and (3) I am a U.S. citizen or other U.S. person (as defined in the Form W-9 instructions), and (4) the FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.



Has the IRS notified you that you are subject to backup withholding?



No. I am not subject to backup withholding.



Yes. The IRS has informed me that I am subject to backup withholding. As a result, I am not making a certification with respect to item 2, above.

*The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.*

	NAME	**TELEPHONE NUMBER	ELECTRONIC SIGNATURE
1*)	SHANNON L KING	[REDACTED]	SHANNON KING on 2017-04-24 14:23:38 EDT
2)	JUSTIN R KING	[REDACTED]	JUSTIN KING on 2017-04-24 14:24:39 EDT
3)			
4)			
5)			
6)			
7)			
8)			

\*Tax Responsibility Indicator

**\*\* When you give us your mobile phone number, we have your permission to contact you at that number about all your Chase or J.P. Morgan accounts. Your consent allows us to use text messaging, artificial or prerecorded voice messages and automatic dialing technology for informational and account service calls, but not for telemarketing or sales calls. It may include contact from companies working on our behalf to service your accounts. Message and data rates may apply. You may contact us anytime to change these preferences.**



	1	2	3	4
TAXPAYER ID#	[REDACTED]	[REDACTED]		
DATE OF BIRTH	[REDACTED]	[REDACTED]		
PRIMARY ID TYPE	Driver's License	Driver's License		
PRIMARY ID NUMBER	[REDACTED]	[REDACTED]		
ISSUER	AZ	AZ		
ISSUANCE DATE	[REDACTED]	[REDACTED]		
EXPIRATION DATE	[REDACTED]	[REDACTED]		
SECONDARY ID TYPE	Soc Sec Card	Soc Sec Card		
SECONDARY IDNUMBER	[REDACTED]	[REDACTED]		
ISSUER	USA	USA		
ISSUANCE DATE				
EXPIRATION DATE				

	5	6	7	8
TAXPAYER ID#				
DATE OF BIRTH				
PRIMARY ID TYPE				
PRIMARY ID NUMBER				
ISSUER				
ISSUANCE DATE				
EXPIRATION DATE				
SECONDARY ID TYPE				
SECONDARY IDNUMBER				
ISSUER				
ISSUANCE DATE				
EXPIRATION DATE				

M10618\_C2S\_CS (03/17)

Page 3 of 3

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# **EXHIBIT 3**

**Business Signature Card**

**ACCOUNT TITLE ("DEPOSITOR")**  
AREA AUTO GLASS LLC

**ACCOUNT NUMBER** [REDACTED] 887

**ACCOUNT TYPE** Chase Total Business Checking

**TAXPAYER ID NUMBER** [REDACTED]

**DATE OPENED** 08/24/2017

**FORM OF BUSINESS** Limited Liability Company - Member Managed (LLC)

**ISSUED BY** JPMorgan Chase Bank, N.A. ( 601 )

Billmore - 108

MATTHEW D OCONNOR

(602) 261-5002

08/24/2017

**BUSINESS ADDRESS**  
3215 E CAMELBACK RD STE 478

PHOENIX, AZ 85018-2307

**PRIMARY ID TYPE**  
Website Documentation

**PRIMARY ID NUMBER**  
L19788010

**ISSUER**  
AZ

**ISSUANCE DATE**  
01/28/2015

**EXPIRATION DATE**

**SECONDARY ID TYPE**  
None

**SECONDARY ID NUMBER**

**ISSUER**

**ISSUANCE DATE**

**EXPIRATION DATE**

**ACKNOWLEDGEMENT** - By signing this Signature Card, the Depositor applies to open a deposit account at JPMorgan Chase Bank, N.A. (the "Bank"). The Depositor represents and warrants that (i) the signatures appearing below are genuine or facsimile signatures of the person(s) authorized to transact business and (ii) all necessary actions or formalities, where necessary, have been taken to authorize the named person(s) to so act. The Bank is entitled to rely on the authority of the named person(s) until written revocation of such authority is received by the Bank. The Depositor certifies that the information provided to the Bank is true to the best of its knowledge and authorizes the Bank, at its discretion, to obtain credit reports on the Depositor. The Depositor acknowledges receipt of the Bank's Deposit Account Agreement or other applicable account agreement, which include all provisions that apply to this deposit account, and other agreements and service terms for account analysis and other treasury management services if applicable, and agree to be bound by the terms and conditions contained therein as amended from time to time.

\*\* When you give us your mobile phone number, we have your permission to contact you at that number about all your Chase or J.P. Morgan accounts. Your consent allows us to use text messaging, artificial or prerecorded voice messages and automatic dialing technology for informational and account service calls, but not for telemarketing or sales calls. It may include contact from companies working on our behalf to service your accounts. Message and data rates may apply. You may contact us anytime to change these preferences.

	PRINTED NAME	TELEPHONE NUMBER	TAXPAYER ID #	TITLE	DATE	SIGNATURE
1)	JUSTIN R KING	[REDACTED]	[REDACTED]	Member	8-24-17	
2)						
3)						
4)						



Page 1 of 1

M1207-01-13-C5 (3/14 v5)





## BUSINESS ACCOUNT ADD SIGNERS FORM


NAME OF BUSINESS AREA AUTO GLASS LLC

TAXPAYER ID NO. [REDACTED]

BUSINESS ADDRESS 3219 E CAMELBACK RD STE 478, PHOENIX, AZ 85018-2307

BRANCH NAME AND NO. BILTMORE - 108

BANK NO. 601

BRANCH PHONE NO. (602) 261-5002

INTEROFFICE MAILCODE AZ1-0082

PREPARED BY: NAME MATTHEW D OCONNOR

DATE: 08/28/2017

Please add the following signer to the accounts listed below (other authorized signers on record do not change):

Name of the Signer to Add

Title

Signature *Shannon L King*

Date

8/28/2017

Identification

ID Number

Issuer

Issuance Date

Expiration Date

1) Driver's License

2) None

Account Numbers:

[REDACTED] 587

Please add the following signer to the accounts listed below (other authorized signers on record do not change):

Name of the Signer to Add

Title

Signature

Date

Identification

ID Number

Issuer

Issuance Date

Expiration Date

Account Numbers:

Please add the following signer to the accounts listed below (other authorized signers on record do not change):

Name of the Signer to Add

Title

Signature

Date

Identification

ID Number

Issuer

Issuance Date

Expiration Date

Account Numbers:

## CERTIFICATION

The undersigned hereby certifies that the person(s) added as authorized signers on the account(s) indicated above have been added in accordance with resolutions or other documents of the Business regarding signing authority for bank accounts. The undersigned further certifies that for those added as authorized signers, the names, titles and signatures are correct.

For a Corporation or Unincorporated Association or Organization:

For Sole Proprietorship:

For Partnership or Limited Liability Company:

For Government Entity:

Secretary

Date

Owner/Sole Proprietor

Date

Partner/Member/Manager

Date

Certifying Official

Date



1

JPMorgan Chase Bank, N.A. Member FDIC

