

Fill in this information to identify the case:Debtor name PHASERX, INC.United States Bankruptcy Court for the: DISTRICT OF DELAWARECase number (if known): 17-12890(CSS)☒ Check if this is an amended filing

Official Form 206A/B

Schedule A/B: Assets — Real and Personal Property

12/15

Disclose all property, real and personal, which the debtor owns or in which the debtor has any other legal, equitable, or future interest. Include all property in which the debtor holds rights and powers exercisable for the debtor's own benefit. Also include assets and properties which have no book value, such as fully depreciated assets or assets that were not capitalized. In Schedule A/B, list any executory contracts or unexpired leases. Also list them on *Schedule G: Executory Contracts and Unexpired Leases* (Official Form 206G).

Be as complete and accurate as possible. If more space is needed, attach a separate sheet to this form. At the top of any pages added, write the debtor's name and case number (if known). Also identify the form and line number to which the additional information applies. If an additional sheet is attached, include the amounts from the attachment in the total for the pertinent part.

For Part 1 through Part 11, list each asset under the appropriate category or attach separate supporting schedules, such as a fixed asset schedule or depreciation schedule, that gives the details for each asset in a particular category. List each asset only once. In valuing the debtor's interest, do not deduct the value of secured claims. See the instructions to understand the terms used in this form.

Part 1: Cash and cash equivalents**1. Does the debtor have any cash or cash equivalents?**

- ☐ No. Go to Part 2.
- ☒ Yes. Fill in the information below.

All cash or cash equivalents owned or controlled by the debtor**Current value of debtor's interest****2. Cash on hand**

\$ 0.00

3. Checking, savings, money market, or financial brokerage accounts (Identify all)

Name of institution (bank or brokerage firm)	Type of account	Last 4 digits of account number	
3.1. SILICON VALLEY BANK	CHECKING	9316	\$ 668,163.12
3.2. US BANK	BROKERAGE	4755	\$ 1,092,761.51

4. Other cash equivalents (Identify all)

4.1. _____ \$ _____

4.2. _____ \$ _____

5. Total of Part 1

Add lines 2 through 4 (including amounts on any additional sheets). Copy the total to line 80.

\$ 1,760,924.63

Part 2: Deposits and prepayments**6. Does the debtor have any deposits or prepayments?**

- ☐ No. Go to Part 3.
- ☒ Yes. Fill in the information below.

Current value of debtor's interest**7. Deposits, including security deposits and utility deposits**

Description, including name of holder of deposit

7.1. SECURITY DEPOSIT ON LEASE, SILICON VALLEY BANK	\$ 50,000.00
7.2. COLLATERAL ON CREDIT CARD, SILICON VALLEY BANK	\$ 25,000.00

8. Prepayments, including prepayments on executory contracts, leases, insurance, taxes, and rent

Description, including name of holder of prepayment		
8.1. PREPAID INSURANCE	\$	354,324.00
8.2. MISCELLANEOUS PREPAID EXPENSES	\$	209,854.00

9. Total of Part 2.

Add lines 7 through 8. Copy the total to line 81.

\$639,178.00

Part 3: Accounts receivable

10. Does the debtor have any accounts receivable?

- ☐ No. Go to Part 4.
- ☒ Yes. Fill in the information below.

Current value of debtor's interest

11. Accounts receivable

11a. 90 days old or less:	13,000.00	0.00	= →	\$ 13,000.00
	face amount	doubtful or uncollectible accounts		
11b. Over 90 days old:			= →	\$
	face amount	doubtful or uncollectible accounts		

12. Total of Part 3

Current value on lines 11a + 11b = line 12. Copy the total to line 82.

\$13,000.00

Part 4: Investments

13. Does the debtor own any investments?

- ☐ No. Go to Part 5.
- ☒ Yes. Fill in the information below.

Valuation method used for current value

Current value of debtor's interest

14. Mutual funds or publicly traded stocks not included in Part 1

Name of fund or stock:		
14.1. _____	_____	\$ _____
14.2. _____	_____	\$ _____

15. Non-publicly traded stock and interests in incorporated and unincorporated businesses, including any interest in an LLC, partnership, or joint venture

Name of entity:	% of ownership:	
15.1. PHASERX IRELAND LIMITED	100.00 %	\$ Undetermined
15.2. _____	%	\$ _____

16. Government bonds, corporate bonds, and other negotiable and non-negotiable instruments not included in Part 1

Describe:		
16.1. _____	_____	\$ _____
16.2. _____	_____	\$ _____

17. Total of Part 4

Add lines 14 through 16. Copy the total to line 83.

\$Undetermined

Part 5: Inventory, excluding agriculture assets**18. Does the debtor own any inventory (excluding agriculture assets)?**

- ☐ No. Go to Part 6.
- ☒ Yes. Fill in the information below.

General description	Date of the last physical inventory	Net book value of debtor's interest (Where available)	Valuation method used for current value	Current value of debtor's interest
19. Raw materials				
_____	MM / DD / YYYY	\$ _____	_____	\$ _____
20. Work in progress				
R&D - REAGENTS, MICE & SUPPLIES	_____	\$ 0.00	Estimate	\$ 500,000.00
	MM / DD / YYYY			
21. Finished goods, including goods held for resale				
_____	MM / DD / YYYY	\$ _____	_____	\$ _____
22. Other inventory or supplies				
_____	MM / DD / YYYY	\$ _____	_____	\$ _____
23. Total of Part 5				\$ 500,000.00
Add lines 19 through 22. Copy the total to line 84.				

24. Is any of the property listed in Part 5 perishable?

- ☐ No
- ☒ Yes

25. Has any of the property listed in Part 5 been purchased within 20 days before the bankruptcy was filed?

- ☐ No
- ☒ Yes. Book value \$0.00 Valuation method Purchase Price Current value \$3,400.00

26. Has any of the property listed in Part 5 been appraised by a professional within the last year?

- ☒ No
- ☐ Yes

Part 6: Farming and fishing-related assets (other than titled motor vehicles and land)**27. Does the debtor own or lease any farming and fishing-related assets (other than titled motor vehicles and land)?**

- ☒ No. Go to Part 7.
- ☐ Yes. Fill in the information below.

General description	Net book value of debtor's interest (Where available)	Valuation method used for current value	Current value of debtor's interest
28. Crops—either planted or harvested			
_____	\$ _____	_____	\$ _____
29. Farm animals <i>Examples:</i> Livestock, poultry, farm-raised fish			
_____	\$ _____	_____	\$ _____
30. Farm machinery and equipment (Other than titled motor vehicles)			
_____	\$ _____	_____	\$ _____
31. Farm and fishing supplies, chemicals, and feed			
_____	\$ _____	_____	\$ _____
32. Other farming and fishing-related property not already listed in Part 6			
_____	\$ _____	_____	\$ _____

33. **Total of Part 6.**

Add lines 28 through 32. Copy the total to line 85.

\$ _____

34. **Is the debtor a member of an agricultural cooperative?**

- ☐ No
- ☐ Yes. Is any of the debtor's property stored at the cooperative?
- ☐ No
- ☐ Yes

35. **Has any of the property listed in Part 6 been purchased within 20 days before the bankruptcy was filed?**

- ☐ No
- ☐ Yes. Book value \$ _____ Valuation method _____ Current value \$ _____

36. **Is a depreciation schedule available for any of the property listed in Part 6?**

- ☐ No
- ☐ Yes

37. **Has any of the property listed in Part 6 been appraised by a professional within the last year?**

- ☐ No
- ☐ Yes

Part 7: Office furniture, fixtures, and equipment; and collectibles38. **Does the debtor own or lease any office furniture, fixtures, equipment, or collectibles?**

- ☐ No. Go to Part 8.
- ☒ Yes. Fill in the information below.

General description	Net book value of debtor's interest (Where available)	Valuation method used for current value	Current value of debtor's interest
39. Office furniture			
_____	\$ _____	_____	\$ _____
40. Office fixtures			
SEE ATTACHED LIST	\$ 627.00	Book Value	\$ 626.56
41. Office equipment, including all computer equipment and communication systems equipment and software			
SEE ATTACHED LIST	\$ 2,411.00	Book Value	\$ 2,410.70
42. Collectibles <i>Examples:</i> Antiques and figurines; paintings, prints, or other artwork; books, pictures, or other art objects; china and crystal; stamp, coin, or baseball card collections; other collections, memorabilia, or collectibles			
42.1 _____	\$ _____	_____	\$ _____
42.2 _____	\$ _____	_____	\$ _____
42.3 _____	\$ _____	_____	\$ _____

43. **Total of Part 7.**

Add lines 39 through 42. Copy the total to line 86.

\$ 3,037.26

44. **Is a depreciation schedule available for any of the property listed in Part 7?**

- ☐ No
- ☒ Yes

45. **Has any of the property listed in Part 7 been appraised by a professional within the last year?**

- ☒ No
- ☐ Yes

Part 8: Machinery, equipment, and vehicles**46. Does the debtor own or lease any machinery, equipment, or vehicles?**☐ No. Go to Part 9.☒ Yes. Fill in the information below.

General description Include year, make, model, and identification numbers (i.e., VIN, HIN, or N-number)	Net book value of debtor's interest (Where available)	Valuation method used for current value	Current value of debtor's interest
47. Automobiles, vans, trucks, motorcycles, trailers, and titled farm vehicles			
47.1 _____	\$ _____	_____	\$ _____
47.2 _____	\$ _____	_____	\$ _____
47.3 _____	\$ _____	_____	\$ _____
47.4 _____	\$ _____	_____	\$ _____
48. Watercraft, trailers, motors, and related accessories Examples: Boats, trailers, motors, floating homes, personal watercraft, and fishing vessels			
48.1 _____	\$ _____	_____	\$ _____
48.2 _____	\$ _____	_____	\$ _____
49. Aircraft and accessories			
49.1 _____	\$ _____	_____	\$ _____
49.2 _____	\$ _____	_____	\$ _____
50. Other machinery, fixtures, and equipment (excluding farm machinery and equipment)			
LAB EQUIPMENT/FIXTURES	\$ 192,158.00	BOOK VALUE	\$ 192,158.00
51. Total of Part 8. Add lines 47 through 50. Copy the total to line 87.			\$ 192,158.00

52. Is a depreciation schedule available for any of the property listed in Part 8?☐ No☒ Yes**53. Has any of the property listed in Part 8 been appraised by a professional within the last year?**☒ No☐ Yes

Part 9: Real property

54. Does the debtor own or lease any real property?

☒ No. Go to Part 10.☐ Yes. Fill in the information below.

55. Any building, other improved real estate, or land which the debtor owns or in which the debtor has an interest

Description and location of property

Include street address or other description such as Assessor Parcel Number (APN), and type of property (for example, acreage, factory, warehouse, apartment or office building), if available.

Nature and extent of debtor's interest in property**Net book value of debtor's interest**
(Where available)**Valuation method used for current value****Current value of debtor's interest**

55.1

\$

\$

55.2

\$

\$

55.3

\$

\$

56. Total of Part 9.

Add the current value on lines 55.1 through 55.3 and entries from any additional sheets. Copy the total to line 88.

\$ 0.00

57. Is a depreciation schedule available for any of the property listed in Part 9?

☐ No☐ Yes

58. Has any of the property listed in Part 9 been appraised by a professional within the last year?

☐ No☐ Yes**Part 10: Intangibles and intellectual property**

59. Does the debtor have any interests in intangibles or intellectual property?

☐ No. Go to Part 11.☒ Yes. Fill in the information below.**General description****Net book value of debtor's interest**
(Where available)**Valuation method used for current value****Current value of debtor's interest**

60. Patents, copyrights, trademarks, and trade secrets

SEE ATTACHED

Recent cost-based valuations

\$ 3,500,000.00

\$ 3,500,000.00

61. Internet domain names and websites
WWW.PHASERX.COM

\$ Undetermined

\$ Undetermined

62. Licenses, franchises, and royalties

\$

\$

63. Customer lists, mailing lists, or other compilations

\$

\$

64. Other intangibles, or intellectual property

\$

\$

65. Goodwill

\$

\$

66. Total of Part 10.

Add lines 60 through 65. Copy the total to line 89.

\$ 3,500,000.00

67. Do your lists or records include personally identifiable information of customers (as defined in 11 U.S.C. §§ 101(41A) and 107)?

- ☒ No
☐ Yes

68. Is there an amortization or other similar schedule available for any of the property listed in Part 10?

- ☒ No
☐ Yes

69. Has any of the property listed in Part 10 been appraised by a professional within the last year?

- ☒ No
☐ Yes

Part 11: All other assets

70. Does the debtor own any other assets that have not yet been reported on this form?

Include all interests in executory contracts and unexpired leases not previously reported on this form.

- ☐ No. Go to Part 12.
☒ Yes. Fill in the information below.

Current value of
debtor's interest

71. Notes receivable

Description (include name of obligor)

_____ — _____ = → \$ _____
Total face amount doubtful or uncollectible amount

72. Tax refunds and unused net operating losses (NOLs)

Description (for example, federal, state, local)

206 Question 72 Continuation

_____	Tax year _____	\$ 39,481,086.00
_____	Tax year _____	\$ _____
_____	Tax year _____	\$ 34,416,058.00

73. Interests in insurance policies or annuities

_____ \$ _____

74. Causes of action against third parties (whether or not a lawsuit has been filed)

_____ \$ _____

Nature of claim _____

Amount requested \$ _____

75. Other contingent and unliquidated claims or causes of action of every nature, including counterclaims of the debtor and rights to set off claims

_____ \$ _____

Nature of claim _____

Amount requested \$ _____

76. Trusts, equitable or future interests in property

_____ \$ _____

77. Other property of any kind not already listed Examples: Season tickets, country club membership

_____ \$ _____

_____ \$ _____

78. Total of Part 11.

Add lines 71 through 77. Copy the total to line 90.

\$ 39,481,086.00

79. Has any of the property listed in Part 11 been appraised by a professional within the last year?

- ☒ No
☐ Yes

Part 12: Summary

In Part 12 copy all of the totals from the earlier parts of the form.

Type of property	Current value of personal property	Current value of real property
80. Cash, cash equivalents, and financial assets. <i>Copy line 5, Part 1.</i>	\$ 1,760,924.63	
81. Deposits and prepayments. <i>Copy line 9, Part 2.</i>	\$ 639,178.00	
82. Accounts receivable. <i>Copy line 12, Part 3.</i>	\$ 13,000.00	
83. Investments. <i>Copy line 17, Part 4.</i>	\$ Undetermined	
84. Inventory. <i>Copy line 23, Part 5.</i>	\$ 500,000.00	
85. Farming and fishing-related assets. <i>Copy line 33, Part 6.</i>	\$	
86. Office furniture, fixtures, and equipment; and collectibles. <i>Copy line 43, Part 7.</i>	\$ 3,037.26	
87. Machinery, equipment, and vehicles. <i>Copy line 51, Part 8.</i>	\$ 192,158.00	
88. Real property. <i>Copy line 56, Part 9.</i> ➔		\$ 0.00
89. Intangibles and intellectual property. <i>Copy line 66, Part 10.</i>	\$ 3,500,000.00	
90. All other assets. <i>Copy line 78, Part 11.</i>	+ \$ 39,481,086.00	
91. Total. Add lines 80 through 90 for each column. 91a.	\$ 46,089,383.89	+ 91b. \$ 0.00
92. Total of all property on Schedule A/B. Lines 91a + 91b = 92.		\$ 46,089,383.89

Debtor PHASERX, INC.

Name

Case number (if known) 17-12890(CSS)

Form 206A/B - Assets - Real and Personal Property

72. Tax Refunds and Unused Net Operating Losses (NOLs)

Continuation Sheet

<u>Description (for Example, Federal, State, Local)</u>	<u>Tax Year</u>	<u>Current value of Debtor's Interest</u>
FEDERAL NON-SRLY NOL	2008	1,815,637.00
FEDERAL NON-SRLY NOL	2009	3,249,391.00
FEDERAL NON-SRLY NOL	2010	3,625,174.00
FEDERAL NON-SRLY NOL	2011	5,156,544.00
FEDERAL NON-SRLY NOL	2012	4,460,942.00
FEDERAL NON-SRLY NOL	2013	4,407,070.00
FEDERAL NON-SRLY NOL	2014	3,805,764.00
FEDERAL NON-SRLY NOL	2015	4,396,245.00
FEDERAL NON-SRLY NOL	2016	8,564,319.00

PhaseRx Fixed Asset Listing

Asset Description	Date Purch	Asset Purch \$\$	Life	NBV at 12/11/17
<u>Furniture/Fixtures</u>				
Ducky's furniture- assorted office furniture (desks, file cabinets etc.)	3/23/2010	18,475.94	84	-
George Goddard - Tall Cabinets for Labs	3/23/2010	5,100.00	84	-
RBA Furniture - Work Stations - adj in may for -\$657	3/23/2010	8,420.55	84	0.00
Rain Networks -new IT Cabinet	3/31/2010	1,136.61	84	-
Targeted Genetics - 8 lateral filing cabinets	4/2/2010	1,752.00	84	-
Fisher - lab stools	5/6/2010	2,371.95	84	-
Fisher - lab stools	5/19/2010	1,423.90	84	-
SVB - Lowe's fridge and microwave for breakroom	5/22/2010	732.38	84	-
emerald city - delivery/install charges for office cabinets purchased from targeted (see entry above)	5/31/2010	342.00	84	0.00
SVB - uline - wire shelving for vivarium	6/22/2010	1,169.26	84	-
Staples - 4 lateral filing cabinets	6/22/2010	1,094.96	84	-
Staples - whiteboards for offices	6/22/2010	1,112.43	84	-
VWR - stainless components for cart for vivarium	7/1/2010	2,647.03	84	-
ancare - bottle basket dolly, plus S&H - tax exempt	7/1/2010	452.00	84	-
SVB - shelving for vivarium - accrued use tax	7/22/2010	706.53	84	-
SVB - grainger - wall shelving for vivarium	7/22/2010	465.03	84	-
Ducky's furniture - addl tables, chairs for office	8/1/2010	831.56	84	-
Ducky's furniture - addl tables, chairs for office	8/1/2010	935.13	84	-
Ducky's furniture	9/1/2010	8,401.94	84	-
two tables for viv and labs - looped logic	9/1/2010	1,609.65	84	-
Uline- wire shelving for vivarium	10/1/2010	603.83	84	-
Duckys - additional breakroom table and bookcase for exec office	11/1/2010	654.81	84	-
Fisher - 2 lab stools/chairs	11/1/2010	809.57	84	-
Fisher - 3 lab chairs/stools	4/27/2011	1,127.90	84	53.71
looped logic - table, work surface and kit (purch on cc)	7/1/2011	2,024.66	84	168.72
Uline -shelving for vivarium	7/1/2011	736.19	84	61.35
Rackyard - custom built freezer racks for freezers in chemistry	9/1/2011	1,801.28	84	192.99
Looped logic - table for surgical group	10/22/2011	1,258.16	84	149.78
Total Furniture/Fixtures		68,197.25		626.56

Office Equipment including computer equipment and communication systems equipment and software

Office Equipment

Projector(replaced by newer projector which was expensed)	4/16/2008	1,035.48	60	(0.00)
Integra Telecom - phone system	2010/2011	15,076.78	60	0.00
Total Office Equipment		16,112.26		0.00

Computer Software

Labtrack software	various	39,759.79	24	-
Leschi Consultants - 8 GraphPad Prism V7 licenses	11/15/2016	5,259.70	24	2,410.70
Total Computer Software		45,019.49		2,410.70

PHASERX, INC**LISTING OF COMPUTER EQUIPMENT - AS OF 12/11/17****Description**

Fortinet Firewall
Dell PowerEdge T420 (Primary Server)
Virtual Machine
Buffalo Terrastation
WiFi
WiFi
WiFi
Small conf room guest WiFi
Server that Runs Zestacizer machine
Dell
Dell Latitude E6510
Vivarium laptop
Laptop
Dell Latitude E5430
Dell Latitude E5440
Dell Latitude E5430
Dell Latitude
Dell Latitude E5440
Dell Latitude E4200
Laptop
Dell Latitude E5430
Dell Latitude E5430
Dell Latitude E5430
Netbook
Laptop
Surface
Dell Surface Book
Surface
Dell XPS 9350
Dell OptimPlex 990
Dell Latitude E5430
Windows 10 Surface
Dell XPS 9350
Laptop
MS Surface
Dell Latitude 6500
Lab Computer
Epson WF 3640
Windows 10 laptop
PHASE-32
Dell OptiPlex 380
HP Laserjet 4250

Description (continued)

Laserjet P3015
Dell Desktop
Z230 PC with Xeon Proc
Desktop PC
Toshiba Satellite C55-C
Dell Precision Desktop
Lab Computer
Lab computer
Dell Precision Desktop
Lab machine
HP Color Laserjet M477
High speed long range access point
High speed long range access point
High speed long range access point
Dell Precision Desktop
Dell Latitude
Operates VirTis temperature controller
Dell Latitude Laptop
MS Surface
Labtech Machine
Laptop

2017 PHASERX LAB EQUIPMENT SCHEDULE

Asset Description	Date Purch	Purch \$\$	Asset Life	NBV at 12/11/2017	Fixed Asset Number	Comment
Lab Equipment						
Items with Book Value at 11/30/17						
Agilent Technologies - HPL Machine inv 110683200	02/01/2016	74,955.49	60	47,471.77	0120	
Agilent Technologies - HPL Machine inv 110629472	01/13/2016	65,124.28	60	40,160.08	0121	
Wyatt - miniDawn - serial number 913-TS-installed Jan 2016	12/08/2015	42,355.45	60	26,119.29	0123	
Agilent Technologies - HPL Machine Inv 110583460	12/30/2015	38,932.99	60	24,008.75	0122	
Wyatt - Optilab TrEX - serial number 1171-TREX - installed Jan 2016	12/08/2015	23,600.74	60	14,553.69	0124	
Agilent - Refurbished 2100 Electrophor Bioanalyzer	06/20/2016	15,071.10	60	10,549.68	0129	
NanoAssemblr Benchtop Instrument	03/14/2014	37,065.75	60	9,266.44	0001	
Agilent - 1260 Infinity II ELSD-installed 1/17	11/18/2016	9,063.12	60	7,401.57	0128	
Spectralab - Shimadzu ELSD Detector	04/01/2015	10,094.26	60	4,710.65	0125	
Fisher Scientific Spectroscopy (nano-drop)	06/13/2014	10,367.98	60	3,110.39	0093	
Watson-Marlow pump	04/30/2013	34,654.15	60	2,890.52	0002	
Wyatt - installed Feb 2016	02/08/2016	1,914.00	60	1,212.20	0127	
Malvern Instruments - 1095033936	02/12/2013	17,916.93	60	703.04	0126	

Items with Zero Book Value at 11/30/17

Agilent - Mass Spec (tax exempt)	03/01/2011	229,094.89	60	0.00	0100	Annex
Agilent - 90% billing for nmr	06/01/2011	224,820.90	60	-	0130	Annex
Caliper Life Sciences - IVIS Lumina II Instrument plus freight - tax exempt	04/06/2011	155,704.99	60	-	0065	
Applied Precision	06/01/2010	123,874.30	60	-	0099	
Shimadzu - HPLC and parts, AB pumps - multiple invoices	06/30/2010	112,607.30	60	-	0101	
ZEN3602-NANO ZS PLUS MPT2 & DEGAS PACKAGE	6/1/2008	85,911.32	60	(0.00)	0131	
Agilent - components for Mass Spec (tax exempt)	03/08/2011	38,028.00	60	-	0102	
Applied Biosystem - Stepone plus 96well RT PCR System	9/2/2009	33,769.80	60	-	0007	
Shimadzu - lab equip parts for the HPLC - item received in Dec, installed in Jan - catching up for 1mo dep exp in Feb	12/31/2010	32,662.76	60	-	0103	Annex
Stepone Plus 96Well Rt Pcr System, Laptop	03/11/2008	32,607.28	60	0.00	0006	
Labex 140 cage thoren mouse vent rack (3)	04/01/2010	31,350.00	60	-	0066	& 0067, 0068
SP Scientific - Virtis Freeze Dryer (Lyophilizer)	10/01/2010	28,279.64	60	-	0132	
Agilent - balance due for NMR	06/01/2011	24,980.10	60	-	0130	Annex
Spectrophotometer	11/05/2008	23,903.69	60	(0.00)	0022	
Zymo FACs calibur	04/20/2010	23,000.00	60	-	0004	
Molecular Devices SpectraMax M5	9/26/2008	22,479.14	60	0.00	0003	
Biorad - update to current gel imaging system and new camera for chemidoc readouts for bio, incl S&H separately	07/01/2011	22,422.61	60	0.00	0005	
HPLC System	11/12/2008	15,696.00	60	-	0104	
Prescotts - Surgical microscope plus shipping (separate)	07/01/2011	14,290.75	60	-	0070	
Prescotts - Surgical microscope	05/25/2011	14,125.50	60	-	0071	
Shimadzu - lab equip received Jan 2011 - HPLC components	01/19/2011	13,484.79	60	-	0117	Annex
Nuaire - biological clean air cabinets	06/01/2010	12,289.00	60	-	0076	

Nuaire - biological clean air cabinets	09/01/2010	11,722.00	60	-	0077	
Fastprep-24 Instrument	7/18/2008	11,017.58	60	(0.00)	0009	
Prescott's - surgical microscope	10/01/2011	10,840.50	60	-	0072	
Nanodrop 1000	03/25/2008	9,889.83	60	0.00	0094	
MP Biomedicals - fastprep 24 instrument	08/01/2011	9,845.15	60	-	0008	
GEL DOC XR SYSTEM, PC	04/14/2008	9,317.38	60	0.00	0005	
Fisher Scientific - Rotavapor Product Bundle	2/9/2010	8,554.14	60	(0.00)	0135	
Sanyo - dual chamber CO2 incubator w/ base (incl S&H charges)	09/01/2011	8,509.45	60	-	0098	
Veca - tax exempt - UPS equipment, plugged into mass spec and connected to lab equip	05/03/2011	8,250.00	60	-	0116	Annex
Labex edstrom bottle fill station (1)	04/01/2010	8,075.00	60	-	0078	
UV/VIS Detector Ser#GPC2500-011208	6/1/2008	8,070.76	60	(0.00)	0114	Annex
Fisher -86 freezer - tax exempt	05/06/2010	7,992.59	60	-	0097	
Fisher - R-210 Rotovapor bundle - tax exempt	07/01/2010	7,673.37	60	-	0134	
Fisher - Large Glassware purchase	06/21/2010	7,458.01	60	-	n/a	
Zymo other misc lab equipment purchased	04/20/2010	7,415.00	60	-	n/a	
Zymo 3 4ft biological safety cabinets & 1 minus 80 freezer (freezer stored in annex)	04/20/2010	7,200.00	60	-	0031	& 0028,0029,0030
Agilent - HVY-nitro gas gen w/ O2 monitor - for mass spec	05/04/2011	6,733.00	60	-	0115	Annex
Dovebid assorted lab equipment (purch used from DoveBid)	04/02/2010	6,542.17	60	-	n/a	
Applied Biosystem - Veriti 96 well thermal cycler - PCR Machine	11/01/2010	6,511.76	60	-	0024	
VWR 4.5L Console 115	7/21/2008	6,478.86	60	(0.00)		
Nikon (on svb cc) - microscope	06/22/2011	6,014.56	60	-	0095	
Agilent - installation/training of Mass Spec (soft cost)	03/28/2011	5,904.00	60	-	n/a	
DS-F11 DIGITAL CAMERA, CONTROL UNIT, AC ADAPTER, I/F CABLE, DIRECT PROJECTION - PURCHASED FOR LAB	01/28/2009	5,183.55	60	-		
Applied Biosystem - Veriti 96 well thermal cycler	9/1/2009	5,130.52	60	0.00	0025	
Esco Tech - fume hood	08/01/2010	5,093.00	60	-	0096	
Zymo 2 double stack incubator (stored in annex)	04/20/2010	5,000.00	60	-	0027	
Fisher 2 - 4x85mL rotors - tax exempt	05/12/2010	4,914.42	60	-		
Dovebid Shimadzu HPLC	04/07/2010	4,900.00	60	-	0113	Annex
Dovebid Shimadzu HPLC	04/07/2010	4,850.00	60	-	0105	
Esco - airstream horizontal laminar flow clean bench	06/01/2011	4,780.30	60	-	0026	
Labex bedding disposal station (1)	04/01/2010	4,750.00	60	-	0079	
TD/Light Scattering Data Software	6/1/2008	4,570.78	24	0.00		
Labex biosafety cabinet (1)	04/01/2010	4,500.00	60	-	0080	
Labex assorted lab equip for Vivarium plus shipipng charges - received credit in July for \$-2946	04/01/2010	4,340.25	60	(0.00)		
Harvard apparatus - harvard apparatus - phd22/2000 infuse with pump	10/22/2011	4,222.34	60	-	0081	
Dovebid Shimadzu HPLC	04/07/2010	4,200.00	60	-	0110	Annex
Viscotek Bronze Level Service-Install of below 5 items and related software	6/1/2008	4,190.78	60	0.00	n/a	
Centrifuge	03/18/2008	4,171.98	60	(0.00)		
Fisher Scientific Milli-Q system - tax exempt	04/20/2010	4,004.80	60	-	0109	
BD Biosciences - install, parts/maint on new FACScalibur	06/23/2010	4,000.58	60	-	n/a	
Applied Precision - additional lab equipment for Delta Vision - tax exempt	08/01/2010	3,907.00	60	-		
Harvard apparatus - purch on company cc - part of original order returned/credited in sept	07/01/2011	3,895.73	60	(0.00)		
G3000 PWxl Aqueous Column (3 EA)	6/1/2008	3,820.78	60	0.00		
Fisher - MaxQ 4450 Analog -shaking incubator	05/06/2011	3,755.76	60	-	0021	
Harvard Apparatus (CC purch) - PHD 22/2000 Infuse with pump	10/1/2009	3,635.08	60	0.00	0082	
Rotary Evaporator LR4001/HB/G3	5/7/2008	3,608.85	60	-		

SVB CC - tech manufacturing corp - specialized table and table top for lab - tax exempt	06/22/2010	3,593.62	60	-		
Emerald City - cost of moving vivarium equipment	07/01/2010	3,552.84	60	-		
Dovebid Shimadzu HPLC	04/07/2010	3,550.00	60	-	0112	Annex
Copolymer Analysis Data Software	6/1/2008	3,470.78	24	(0.00)		
Labex - caging for vivarium	07/01/2011	3,378.08	60	-		
Dovebid Assorted Lab Equipment - received cred in May - reducing by - 136.27	04/07/2010	3,357.93	60	(0.00)	n/a	
Molecular Imaging Products - Anesthesia Machine and components	10/01/2011	3,351.66	60	-	0073	
Dovebid Cryostat	04/02/2010	3,307.00	60	-	0020	
Filter equipment for Microscope from Tanji	12/11/2008	3,235.94	60	(0.00)		
Tech safety services inc -decon for vivarium equip - part of vivarium equip value	07/01/2010	3,200.00	60	-		
Molecular Imaging Products - Anesthesia Machine and components	03/23/2011	3,195.31	60	0.00	0074	
SVB CC - tundra ice machine - tax exempt	05/22/2010	3,132.14	60	-	0023	
VWR - Refrigerator w/ solid door 23 CFT - tax exempt	06/16/2010	3,130.57	60	-		
Caliper Life Sciences - installation charges for imaging system - tax exempt	04/14/2011	3,100.00	60	-		
Hacker - Equipment parts/repairs on cryostat purch from dove bid - tax exempt	08/01/2010	3,084.87	60	-		
Gilead Compressor - sales tax excluded	05/19/2010	3,000.00	60	-		
Fisher Scientific - tabletop centrifuge and rotor	12/28/2009	2,989.35	60	0.00		
Molecular Imaging - Anesthesia machine and components - tax exempt	07/01/2010	2,961.50	60	-	0075	
SVB Cc - Sensaphone	06/22/2010	2,950.00	60	-		
Mettler Toledo	03/18/2008	2,902.18	60	0.00	0085	
Chemglass life sciences - 4 Visc Hotplate Stir (\$635/ea)	7/14/2009	2,829.50	60	(0.00)		
Fisher istotmp refr. - sales tax excluded	05/04/2010	2,781.76	60	-		
Rainin - pipettes	8/14/2009	2,744.07	60	0.00		
VWR FREEZE DRYER VACUUM SYSTEM	9/4/2008	2,741.27	60	(0.00)	0138	
Rainin - pipettes	8/11/2009	2,689.33	60	0.00		
Molecular Devices Skanwasher Plate Washer	9/26/2008	2,624.49	60	0.00	0010	
BK360581 Rotor GH-3.8 Horiz w/4 Alm Bkt	6/17/2008	2,619.19	60	(0.00)		
GPC Column Heater	6/25/2008	2,602.08	60	0.00	0119	
Refractive Index Detector Ser#2008-03-780	6/1/2008	2,577.28	60	0.00		
Zymo 1 beckman GS6 centrifuge with carriers, rotor	04/20/2010	2,500.00	60	-		
Biorad - trans blot turbo starter system	07/01/2011	2,439.12	60	-	0012	
Zymo 3 eppendorf 541C microfuge	04/20/2010	2,400.00	60	-	0015	& 0013, 0014
Labex thoren change station (1)	04/01/2010	2,375.00	60	-	0069	
Emerald City - cost of delivery/install for lab equipment purchased	05/31/2010	2,307.74	60	-		
Fisher - Large Glassware purchase	07/06/2010	2,263.27	60	-		
VWR Circulator Heat/Refrig 6L	6/30/2008	2,239.87	60	0.00		
Mettler Toledo Analytical Balance to .1mg	9/26/2008	2,178.00	60	-	0118	
Fisher - hypoxia chamber & flow meter	07/01/2011	1,892.80	60	-	0032	
Invitrogen - Powerease 500	2/22/2010	1,850.55	60	(0.00)	0011	
Rainin - pipettes	8/12/2009	1,840.70	60	0.00		
Fisher - vacuum pump - tax exempt	08/01/2010	1,836.42	60	-	0106	
Fisher - vacuum pump - tax exempt	08/01/2010	1,833.12	60	-	0107	
Fisher - vacuum pump - tax exempt	08/01/2010	1,833.11	60	-	0108	
VWR - Pump controller	10/23/2009	1,818.86	60	0.00		
Vacuum Pump V-700	5/7/2008	1,818.03	60	-		
Zymo 2 nikon TMS inverted microscopes	04/20/2010	1,800.00	60	-	0018	& 0019
VWR - 2 balance	08/01/2011	1,543.26	60	-		

Freezer Gen Upright 20.7 CF	6/9/2008	1,496.96	60	(0.00)		
TTC Consulting billing related to sensaphone calibration/installation - billed by DCB Consulting	06/30/2010	1,435.00	60	-		
Workbench Technical 72IN	5/19/2008	1,414.51	60	0.00		
Pump 115V 60H 1PH	5/5/2008	1,399.50	60	-		
VWR - Balance	12/8/2009	1,385.39	60	(0.00)		
Balance 3100 WWR Int'l	03/18/2008	1,327.28	60	(0.00)		
Fisher - 45 Gal SC Cabinet	04/28/2011	1,240.55	60	-		
Oven Gravity Con ED53-UL 115V	5/23/2008	1,235.61	60	-	0136	
lowes - 2 freezers, tax exempt will be receiving credit from lowe's-rec tax cred in may for -116.66	04/22/2010	1,228.00	60	(0.00)		
Zymo 1 vwr cold box	04/20/2010	1,200.00	60	-		
MP Biomedicals LLC	11/21/2008	1,054.57	60	(0.00)	n/a	
Tech safety services inc - motor and labor associated w/ biosafety cabinet	06/04/2010	1,021.46	60	-		
Fisher - ancare bottle baskets	10/01/2010	1,014.02	60	-		
Labex - new thoren #8 tub and wire bar lid	06/01/2011	1,012.88	60	-		
Shimadzu - injector, manual and S&H - tax exempt	07/01/2010	967.44	60	-	0111	Annex
Rainin - pipettes -ORIGINALLY PURCH IN MARCH BUT RECLASSED UNDER ASSETS IN JULY	7/1/2009	949.37	60	(0.00)		
Shimadzu - high pressure mixer 100 uL -part for HPLC in Harrison Lab	08/01/2011	947.82	60	-	n/a	
Dovebid - additional value for lab equip on sales tax that was not refunded	4/7/2010	929.92	60	-	n/a	
VWR UC Refrig Freezer 5.6 CUFT	5/19/2008	905.71	60	0.00		
Technical Safety Services, Inc. -hood repair/maint on hoods purchased from labex for vivarium less \$1222.12 credit from labex	07/02/2010	893.92	60	-		
Fisher Maxima C Plus Vacuum Pump	9/26/2008	871.20	60	-		
Edstrom - repair on viv equip - water filler purchased from labex	08/25/2010	868.17	60	-		
Ancare Corp - dyna fog & turntable, plus S&H - tax exempt	07/01/2010	855.00	60	-		
Digitrol II Temperature Controller AC	05/08/2008	838.49	60	(0.00)		
2 UV/VIS lamps	01/20/2009	817.97	60	0.00		
Fisher - 45 gal fireproof cabinet	05/01/2010	790.98	60	-		
Fisher - RCT Basic Stirrer IKAMAG, 115V	11/01/2010	759.68	60	-		
Fisher - rct basic stirrer ikamag 115v - stirrer & heat block	12/15/2010	756.07	60	-		
Emerald City - cost of delivery/install for lab equipment purchased	05/31/2010	754.35	60	-		
emerald city moving - delivery/install fees for lab equipment for Chem lab	4/27/2010	745.29	60	-		
Universal Mounting FRame KX	04/28/2008	742.29	60	-		
VWR - balance	08/01/2010	738.82	60	-		
Shaker Titer Plate	03/18/2008	719.11	60	0.00	0017	
looped logic - lab bench/table - tax exempt	05/22/2010	692.00	60	-		
Fisher install and delivery for Milli-Q - tax exempt	05/18/2010	689.00	60	-		
Airgas - dry ice tote - item returned/disposed in Sept 2010, replaced w/ new tote below	10/30/2009	666.86	60	(0.00)		
ISM Therapeutics - Liquid nitrogen dewar	8/11/2009	657.00	60	-	0084	
Washer Immuno 12 Channel	05/06/2008	650.36	60	(0.00)		
Manifold Vac/Inert 450MM 5 PO	5/9/2008	644.80	60	0.00		
Edstrom - repair on viv equip- water filler purchased from labex	08/25/2010	625.00	60	-		
Fisher - chemglass order	09/01/2010	608.16	60	-		
Fisher - ph Meter - tax exempt	07/01/2010	596.15	60	-	0016	
Fisher - glassware order	11/01/2010	581.20	60	-		
VWR Lab frame kit 6' fume hood	3/31/2009	576.69	60	0.00		
VWR Lab-Frame Kit 6' Fume Hood	5/15/2008	575.17	60	0.00		
Dovebid mettler toledo balance	04/02/2010	527.00	60	-	0137	

Harvard apparatus - purch on cc	09/11/2011	516.84	60	-		
Airgas- regulator for tank for lab equipment	10/01/2010	488.11	60	-	0083	
Dovebid - reclassified from deposits. Sales tax that was not refunded	08/01/2010	477.80	60	-		
Hacker - parts for Cryostat (purch from Dovebid)	05/27/2010	476.09	60	-		
Zymo explosion proof freezer	04/20/2010	450.00	60	-		
Screen used with GEL DOC XR SYSTEM, PC	04/23/2008	408.22	60	(0.00)		
Airgas - new dry ice tote	09/01/2010	274.40	60	-	0133	
additional freight charges from CTS for shipping lab equip	05/22/2010	247.84	60	-		
parts/supplies for Sensaphone installation - billed by DCB consulting	06/30/2010	226.00	60	-		
Nikon (on svb cc) - microscope - freight charges	06/22/2011	153.89	60	-		

Total Lab Equipment	2,152,489.11	192,158.09
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Patents and Patent Applications

Docket	Country	Application Title	Application S/N	Filing Date	Application Type/ Priority Document(s)	Publication No./ Publication Date	Patent No./ Issue Date	Status
PhaseRx - 1100.PRV1 UW - 2219-2744-2705PT COJK - UWOTL-1-0021208	USA	Enhanced Transport Using Membrane Disruptive Agents	60/070,411	1/5/1998	Provisional			Claimed as Priority Document
PhaseRx - 1100.US1 UW - 2219-2744-2705PT COJK - UWOTL-1-0021208	USA	Enhanced Transport Using Membrane Disruptive Agents	09/226,044	1/5/1999	Non-provisional Priority to 60/070,411	US 2001/0007666 A1 July 12, 2001	6,835,393 Dec. 28, 2004	Issued
PhaseRx - 1100.USC1 UW - 2219-2744P.1USCON1 COJK - UWOTL-1-0022852	USA	Enhanced Transport Using Membrane Disruptive Agents	10/857,626	5/28/2004	Continuation of 09/226,044 Priority to 60/070,411	US 2005/0136102 A1 June 23, 2005	7,374,778 May 20, 2008	Issued
PhaseRx - 1100.USC2 UW - 41887.09US4 COJK - UWOTL-1-0031146	USA	Enhanced Transport Using Membrane Disruptive Agents	12/105,983	5/18/2008	Continuation of 10/857,626 which is a continuation of 09/226,044 Priority to 60/070,411	US 2008/0199957 A1 Aug. 21, 2008	8,003,129 August 23, 2011	Issued
PhaseRx - 1100.USC3 UW - 41887.18US4 COJK - UWOTL-1-0037128	USA	Enhanced Transport Using Membrane Disruptive Agents	13/182,756	7/14/2011	Continuation of 12/105,983 which is Continuation of 10/857,626 which is a continuation of 09/226,044 Priority to 60/070,411	US 2011/0288238 Nov. 24, 2011	8,846,106 September 30, 2014	Issued
PhaseRx - 1100.USC4 UW - 41887.19US4 COJK - UWOTL-1-0043756	USA	Enhanced Transport Using Membrane Disruptive Agents	14/175,891	2/7/2014	Continuation of 13/182,756 09/226,044 Priority to 60/070,411	US 2014/0219950 August 7, 2014		Abandoned
PhaseRx - 1100.PCT1 UW - 2219-2744-2885PT COJK - UWOTL-1-0021197	PCT	Enhanced Transport Using Membrane Disruptive Agents	PCT/US99/000122	1/5/1999	PCT Priority to 60/070,411	WO 99/034831/ July 15, 1999		Nationalized
PhaseRx - 1100.AU1 UW - 41887.06AU2 COJK - UWOTL-1-0021198	AU	Enhanced Transport Using Membrane Disruptive Agents	1999/20261A	1/5/1999	National Phase of PCT/US99/00122	AU758368B2 Mar 20, 2003	AU758368B2 July 10, 2003	Issued
PhaseRx - 1100.CA1 UW - 2219-2744-3532PT COJK - UWOTL-1-0021199	CA	Enhanced Transport Using Membrane Disruptive Agents	2317549A	1/5/1999	National Phase of PCT/US99/00122	CA2317549A1 Jul 15, 1999	CA2317549A1 April 11, 2006	Issued
PhaseRx - 1100.EP1 UW - 41887.04EP2 COJK - UWOTL-1-0021095	EP	Enhanced Transport Using Membrane Disruptive Agents	99900750.3	1/5/1999	National Phase of PCT/US99/00122	EP1044021 A1 Oct 18, 2000	EP1044021B1 Sep 23, 2009	Issued Validated
PhaseRx - 1100.EPD1 UW - 41887.10EP3 COJK - UWOTL-1-0033587	EP	Enhanced Transport Using Membrane Disruptive Agents	9075353.4	1/5/1999	Divisional of EP 1999/900750A	EP2138191 A1 Dec 30, 2009		Abandoned
PhaseRx - 1100.FR1 UW - 2219-2744-3531FR COJK - UWOTL-1-0033589	FR	Enhanced Transport Using Membrane Disruptive Agents	99900750.3	1/5/1999	Validation of EP EP 1999/900750A		EP1044021B1 Sep 23, 2009 Jan 5, 2019	Issued
PhaseRx - 1100.DE1 UW - 2219-2744-3531DE COJK - UWOTL-1-0033590	DE	Enhanced Transport Using Membrane Disruptive Agents	99900750.3	1/5/1999	Validation of EP EP 1999/900750A		EP1044021B1 Sep 23, 2009 Jan 5, 2019	Issued
PhaseRx - 1100.IE1 UW - 2219-2744-3531IE COJK - UWOTL-1-0033591	IE	Enhanced Transport Using Membrane Disruptive Agents	99900750.3	1/5/1999	Validation of EP EP 1999/900750A		EP1044021B1 Sep 23, 2009 Jan 5, 2019	Issued
PhaseRx - 1100.IT1 UW - 2219-2744-3531IT COJK - UWOTL-1-0033591	IT	Enhanced Transport Using Membrane Disruptive Agents	99900750.3	1/5/1999	Validation of EP EP 1999/900750A		EP1044021B1 Sep 23, 2009 Jan 5, 2019	Issued
PhaseRx - 1100.ES1 UW - 2219-2744-3531ES COJK - UWOTL-1-0033593	ES	Enhanced Transport Using Membrane Disruptive Agents	99900750.3	1/5/1999	Validation of EP EP 1999/900750A		EP1044021B1 Sep 23, 2009 Jan 5, 2019	Issued
PhaseRx - 1100.CH1 UW - 2219-2744-3531CH COJK - UWOTL-1-0033594	CH	Enhanced Transport Using Membrane Disruptive Agents	99900750.3	1/5/1999	Validation of EP EP 1999/900750A		EP1044021B1 Sep 23, 2009 Jan 5, 2019	Issued
PhaseRx - 1100.GB1 UW - 2219-2744-3531GB COJK - UWOTL-1-0033595	GB	Enhanced Transport Using Membrane Disruptive Agents	99900750.3	1/5/1999	Validation of EP EP 1999/900750A		EP1044021B1 Sep 23, 2009 Jan 5, 2019	Issued
PhaseRx - 1200.PRV1 UW - 2219-3241-3319PT COJK - UWOTL-1-0021481	USA	Enhanced Transport Using Membrane Disruptive Agents	60/174,893	1/7/2000				Claimed as Priority Document
PhaseRx - 1200.US1 UW - 42378.04US3 COJK - UWOTL-1-0019001	USA	Enhanced Transport Using Membrane Disruptive Agents	09/755,701	1/5/2001	Priority to 60/174,893	US 2010/0160216 June 24, 2010	7,737,108 B1 June 15, 2010	Issued
PhaseRx - 1200.USD1 UW - 2219-3241-3652PTDIV1 COJK - UWOTL-1-0034681	USA	Enhanced Transport Using Membrane Disruptive Agents	12/771,850	4/30/2010	Divisional of 09/755,701 Priority to 60/174,893	US 2010/0210504 August 19, 2010	8,318,816 November 27, 2012	Issued
PhaseRx - 1300.PRV1 UW - 7279P.1PRO COJK -	USA	Temperature- and pH-Responsive Polymer Compositions	60/782,789	3/16/2006	Provisional			Claimed as Priority Document
PhaseRx - 1300.US1 UW - 7279P.1US COJK - UWOTL-1-0029176	USA	Temperature- and pH-Responsive Polymer Compositions	11/687,522	3/16/2007	Non-provisional Priority to 60/782,789 filed March 16, 2006	US 2007/0224241 Sept. 27, 2007	7,718,193 May 18, 2010	Issued
PhaseRx - 1300.PCT1 UW - 7279P.1PCT COJK - not filed by COJK	PCT	Temperature- and pH-Responsive Polymer Compositions	PCT/US2007/064238	3/16/2007	Priority to 60/782,789 filed March 16, 2006	WO/2007/109584 Sept. 27 2007		Lapsed
PhaseRx - 1400.PRV1 UW - 7568P.1PRO COJK - UWOTL-1-0031308	USA	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	61/052,908	5/13/2008	Provisional			Claimed as Priority Document
PhaseRx - 1400.PRV2 UW - 7568P.1PRO2 COJK - UWOTL-1-0031313	USA	Endosomolytic Diblock Copolymer for siRNA Delivery	61/052,914	5/13/2008	Provisional			Claimed as Priority Document
PhaseRx - 1500.PRV1 UW - 7568P.1PRO3 COJK - UWOTL-1-0031813	USA	Diblock Copolymer Micelles and Polynucleotide Complexes Thereof for Delivery Into Cells	61/091,294	8/22/2008	Provisional			Claimed as Priority Document

PhaseRx -1600.PRV1 UW - 8168P.1PRO1 COJK - UWOTL-1-0032135	USA	Micellar Assemblies	61/112,048	11/6/2008	Provisional			Claimed as Priority Document
PhaseRx -1600.PRV2 UW - 8168P.1PRO4 COJK - UWOTL-1-0032372	USA	Micellar Assemblies	61/140,774	12/24/2008	Provisional			Claimed as Priority Document
PhaseRx -1600.PRV3 UW - 8168P.1PRO5 COJK - UWOTL-1-0033191	USA	Micellar Assemblies	61/171,369	4/21/2009	Provisional			Claimed as Priority Document
PhaseRx -1700.PRV1 UW - 8168P.1PRO2 COJK - UWOTL-1-0032136	USA	Polymeric Carrier	61/112,054	11/6/2008	Provisional			Claimed as Priority Document
PhaseRx -1700.PRV2 UW - 8168P.1PRO3 COJK - UWOTL-1-0032371	USA	Polymeric Carrier	61/140,779	12/24/2008	Provisional			Claimed as Priority Document
PhaseRx -1700.PRV3 UW - 8168P.1PRO6 COJK - UWOTL-1-0033190	USA	Polymeric Carrier	61/171,358	4/21/2009	Provisional			Claimed as Priority Document
PhaseRx -1800.PRV1 UW - 8026P.1PRO3 COJK - UWOTL-1-0032286	USA	Targeted Polymer Bioconjugates	61/120,769	12/8/2008	Provisional			Claimed as Priority Document
PhaseRx -1800.PRV2 UW - 8026P.1PRO4 COJK - UWOTL-1-0033189	USA	Targeted Polymer Bioconjugates	61/171,365	4/21/2009	Provisional			Claimed as Priority Document
PhaseRx -1900.PRV1 UW - 7568P.1PRO4 COJK - UWOTL-1-0033192	USA	Diblock Copolymer Micelles and Polynucleotide Complexes Thereof for Delivery Into Cells	61/171,377	4/21/2009	Provisional			Claimed as Priority Document
PhaseRx -2000.PRV1 UW - 7934P.1PRO2 COJK - UWOTL-1-0033193	USA	Bispecific Intracellular Delivery Vehicles	61/171,381	4/21/2009	Provisional			Claimed as Priority Document
PhaseRx -2100.PCT1 UW - 43939.05WO2 COJK - UWOTL-1-0033268	PCT	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	PCT/US2009/043847	5/13/2009	PCT 61/052,908 filed 5/13/08 61/052,914 filed 5/13/08 61/091,294 filed 8/22/08 61,112,054 filed 11/6/08 61/112,048 filed 11/6/08 61/120,769 filed 12/12/08 61/140,779 filed 12/24/08 61/140,774 filed 12/24/08 61/171,377 filed 4/21/09	WO/2009/140427 Nov. 19, 2009		Nationalized
PhaseRx -2100.US1 UW - 43939.06US2 COJK - UWOTL-1-0035776	USA	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	12/992,517	11/12/2010	National Phase of PCT/US2009/043847	US 2011/0143434 June 16, 2011	9,476,063 October 25, 2016	Issued
PhaseRx -2100.USC1 UW - 43939.31US4 COJK - UWOTL-1-56703	USA	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	15/264,392	9/13/2016	Continuation of 12/992,517		9,867,885 January 9, 2018	Issue Fee Paid
PhaseRx -2100.USD1 UW - 43939.34US3 COJK - UWOTL-1-64996	USA	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	15/828,078	11/30/2017	Division of 15/264,392			Pending
PhaseRx -2100.EP1 UW - 43939.11EP2 COJK - UWOTL-1-35781	EP	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	09747510.7	5/13/2009	National Phase of PCT/US2009/043847	EP 2281011 A4 February 9, 2011	EP 2281011 02/27/2013	Issued
PhaseRx -2100.EPD1 UW - 43939.19EP3 COJK - UWOTL-1-40604	EP	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	13156237.3	2/21/2013	Divisional Application of EP 09747510.7	EP2620161 July 31, 2013		Abandoned
PhaseRx -2100.AU1 UW - 43939.07AU2 COJK - UWOTL-1-0035777	AU	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	2009246327	5/13/2009	National Phase of PCT/US2009/043847		Patent No. 2009246327 12/24/2013	Issued
PhaseRx -2100.AUD1 UW - 43939.27AU3 COJK - UWOTL-1-41047	AU	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	2013204733	4/12/2013	Divisional of 2009246327		Patent No. 2013204733 9/29/2016	Issued
PhaseRx -2100.BR1 UW - 43939.08BR2 COJK - UWOTL-1-35778	BR	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	PI0912159-5	5/13/2009	National Phase of PCT/US2009/043847			Pending
PhaseRx -2100.CA1 UW - 43939.09CA2 COJK - UWOTL-1-0035779	CA	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	2,724,105	5/13/2009	National Phase of PCT/US2009/043847		CA2724105 9/5/2017	Issued
PhaseRx -2100.CAD1 UW - COJK - UWOTL-1-0058773	CA	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	2,972,694	5/13/2009	Divisional of CA Application No. 2,724,105			Pending
PhaseRx -2100.CN1 UW - 43939.10CN2 COJK - UWOTL-1-35780	CN	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	200980122888	5/13/2009	National Phase of PCT/US2009/043847		Patent No. ZL200980122888.3 7/10/2013	Issued
PhaseRx -2100.CND1 UW - 43939.29CN3 COJK - UWOTL-1-40867	CN	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	201310232498.x	5/13/2009	Divisional of CN Application No. 200980122888.3.		Patent No. ZL201310232498.X 11/23/2016	Issued
PhaseRx -2100.IL1 UW - 43939.12IL2 COJK - UWOTL-1-0035782	IL	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	209238	5/13/2009	National Phase of PCT/US2009/043847		Patent No. 209238 7/1/2014	Issued
PhaseRx -2100.IN1 UW - 43939.13IN2 COJK - UWOTL-1-35788	IN	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	8578/DELNP/2010	5/13/2009	National Phase of PCT/US2009/043847			Pending
PhaseRx -2100.JP1 UW - 43939.14JP2 COJK - UWOTL-1-0035783	JP	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	2011-509670	5/13/2009	National Phase of PCT/US2009/043847		5911723 04/08/2016 5/13/2029	Issued

PhaseRx -2100.JPD1 UW - 43939.30JP3 COJK - UWOTL-1-0054692	JP	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	2015-200236	5/13/2009	Divisional of JP Application No. 2011-509670	2016-053169 April 14, 2016	6239565 11/10/2017 5/13/2029	Issued
PhaseRx -2100.KR1 UW - 43939.15KR2 COJK - UWOTL-1-0035784	KR	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	10-2010-7027808	5/13/2009	National Phase of PCT/US2009/043847		10-1661636 09/26/2016 05/13/2029	Issued
PhaseRx -2100.MX1 UW - 43939.16MX2 COJK - UWOTL-1-35785	MX	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	2010/012238	5/13/2009	National Phase of PCT/US2009/043847		316902 01/07/2014	Issued
PhaseRx -2100.SG1 UW - 43939.17SG2 COJK - UWOTL-1-0035786	SG	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	201008331-9	5/13/2009	National Phase of PCT/US2009/043847		166444 07/15/2013	Issued
PhaseRx -2100.ZA1 UW - 43939.18ZA2 COJK - UWOTL-1-35787	ZA	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	2010/08729	5/13/2009	National Phase of PCT/US2009/043847		2010/08729 05/28/2014	Issued
PhaseRx -2100.FR1 UW - 43939.23FR2 COJK - UWOTL-1-0040605	FR	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	9747510.7	5/13/2009	Validation of EP EP2281011		EP 2281011 02/27/2013	Issued
PhaseRx -2100.DE1 UW - 43939.21DE2 COJK - UWOTL-1-0040606	DE	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	9747510.7	5/13/2009	Validation of EP EP2281011		EP 2281011 02/27/2013	Issued
PhaseRx -2100.IE1 UW - 43939.25IE2 COJK - UWOTL-1-0040607	IE	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	9747510.7	5/13/2009	Validation of EP EP2281011		EP 2281011 02/27/2013	Issued
PhaseRx -2100.IT1 UW - 43939.26IT2 COJK - UWOTL-1-0040608	IT	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	9747510.7	5/13/2009	Validation of EP EP2281011		EP 2281011 02/27/2013	Issued
PhaseRx -2100.ES1 UW - 43939.22ES2 COJK - UWOTL-1-0040609	ES	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	9747510.7	5/13/2009	Validation of EP EP2281011		EP 2281011 02/27/2013	Issued
PhaseRx -2100.CH1 UW - 43939.20CH2 COJK - UWOTL-1-0040610	CH	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	9747510.7	5/13/2009	Validation of EP EP2281011		EP 2281011 02/27/2013	Issued
PhaseRx -2100.GB1 UW - 43939.24GB2 COJK - UWOTL-1-0040611	GB	Diblock Copolymers and Polynucleotide Complexes Thereof for Delivery Into Cells	9747510.7	5/13/2009	Validation of EP EP2281011		EP 2281011 02/27/2013	Issued
PhaseRx -2200.PCT1 UW - 8026P.1PCT COJK - UWOTL-1-0033269	PCT	Targeted Polymer Bioconjugates	PCT/US09/43839	5/13/2009	PCT 61/052,908 filed 5/13/08 61/052,914 filed 5/13/08 61/091,294 filed 8/22/08 61/112,054 filed 11/06/08 61/112,048 filed 11/6/08 61/120,769 filed 12/8/08 61/140,774 filed 12/24/08 61/140,779 filed 12/24/08 61/171,365 filed 4/21/09	WO/2009/140423 Nov. 19, 2009		Nationalized
PhaseRx -2200.US1 UW - 44508.07US2 COJK - UWOTL-1-0035828	USA	Targeted Polymer Bioconjugates	12/992,545	2/10/2011	National Phase of PCT/US09/43839	US 2011/0129921 June 2, 2011		Abandoned
PhaseRx -2300.PCT1 UW - 44677.07WO2 COJK - UWOTL-1-0033271	PCT	Micellar Assemblies	PCT/US2009/043849	5/13/2009	PCT 61/052,908 filed 5/13/08 61/052,914 filed 5/13/08 61/091,294 filed 8/22/08 61/112,048 filed 11/6/08 61/140,774 filed 12/24/08 61/171,369 filed 4/21/09	WO/2009/140429 Nov. 19, 2009		Nationalized
PhaseRx -2300.US1 UW - 44677.12US2 COJK - UWOTL-1-0035789	USA	Micellar Assemblies	12/992,525	11/12/2010	National Phase of PCT/US2009/043849	US 2011/0123636 May 26, 2011	9,339,558 May 17, 2016	Issued
PhaseRx -2300.USC1 UW - 44677.66US4 COJK - UWOTL-1-55357	USA	Micellar Assemblies	15/059,026	3/2/2016	Continuation of 12/992,525	US 2016/0250338 September 1, 2016	9,662,403 May 30, 2017	Issued
PhaseRx -2300.USC2 UW - 44677.67US4 COJK - UWOTL-1-58153	USA	Micellar Assemblies	15/499,683	4/27/2017	Continuation of 15/059,026	US 2017/0239360 August 24, 2017		Pending
PhaseRx -2300.EP1 UW - 44677.17EP2 COJK - UWOTL-1-35794	EP	Micellar Assemblies	9747512.3	5/13/2009	National Phase of PCT/US2009/043849	EP 2285853 A4 February 23, 2011	EP 2285853 February 27, 2013	Issued
PhaseRx -2300.FR1 UW - 44677.60FR2 COJK - UWOTL-1-0040612	FR	Micellar Assemblies	9747512.3	5/13/2009	Validation of EP 2285853		EP 2285853 February 27, 2013	Issued
PhaseRx -2300.DE1 UW - 44677.58DE2 COJK - UWOTL-1-0040613	DE	Micellar Assemblies	9747512.3	5/13/2009	Validation of EP 2285853		EP 2285853 February 27, 2013	Issued
PhaseRx -2300.IE1 UW - 44677.62IE2 COJK - UWOTL-1-0040614	IE	Micellar Assemblies	9747512.3	5/13/2009	Validation of EP 2285853		EP 2285853 February 27, 2013	Issued
PhaseRx -2300.IT1 UW - 44677.63IT2 COJK - UWOTL-1-0040615	IT	Micellar Assemblies	9747512.3	5/13/2009	Validation of EP 2285853		EP 2285853 February 27, 2013	Issued
PhaseRx -2300.ES1 UW - 44677.59ES2 COJK - UWOTL-1-0040616	ES	Micellar Assemblies	9747512.3	5/13/2009	Validation of EP 2285853		EP 2285853 February 27, 2013	Issued
PhaseRx -2300.CH1 UW - 44677.57CH2 COJK - UWOTL-1-0040617	CH	Micellar Assemblies	9747512.3	5/13/2009	Validation of EP 2285853		EP 2285853 February 27, 2013	Issued
PhaseRx -2300.GB1 UW - 44677.61GB2 COJK - UWOTL-1-0040618	GB	Micellar Assemblies	9747512.3	5/13/2009	Validation of EP 2285853		EP 2285853 February 27, 2013	Issued

PhaseRx -2300.AU1 UW - 44677.13AU2 COJK - UWOTL-1-0035790	AU	Micellar Assemblies	2009246329	5/13/2009	National Phase of PCT/US2009/043849	2/20/2014 5/13/2029	Issued
PhaseRx -2300.CA1 UW - 44677.15CA2 COJK - UWOTL-1-0035792	CA	Micellar Assemblies	2,724,014	5/13/2009	National Phase of PCT/US2009/043849	CA2724014 9/13/2016	Issued
PhaseRx -2300.JP1 UW - 44677.20JP2 COJK - UWOTL-1-0035797	JP	Micellar Assemblies	2011-509671	5/13/2009	National Phase of PCT/US2009/043849	5755563 6/5/2015 5/13/2029	Issued
PhaseRx -2300.JPD1 UW - 44677.65JP3 COJK - UWOTL-1-0053964	JP	Micellar Assemblies	2015-107802	5/13/2009	Divisional Application of JP 2011-509671		Abandoned
PhaseRx -2300.KR1 UW - 44677.21KR2 COJK - UWOTL-1-0035798	KR	Micellar Assemblies	10-2010-7027809	5/13/2009	National Phase of PCT/US2009/043849	10-1764427 7/27/2017 5/13/2029	Issued
PhaseRx -2300.MX1 UW - 44677.22MX2 COJK - UWOTL-1-35799	MX	Micellar Assemblies	2010/012239	5/13/2009	National Phase of PCT/US2009/043849	315375 11/15/2013 5/13/2029	Issued
PhaseRx -2400.PCT1 UW - 44677.08WO2 COJK - UWOTL-1-0033272	PCT	Polymeric Carrier	PCT/US2009/043837	5/13/2009	PCT 61/052,908 filed 5/13/08 61/052,914 filed 5/13/08 61/091,294 filed 8/22/08 61,112,054 filed 11/6/08 61/140,779 filed 12/24/08 61/140,774 filed 12/24/08 61/171,377 filed 4/21/09 61/171,358 filed 4/21/09	WO/2009/140421 Nov. 19, 2009	Nationalized
PhaseRx -2400.US1 UW - 44677.25US2 COJK - UWOTL-1-0035802	USA	Polymeric Carrier	12/992,536	11/12/2010	National Phase of PCT/US2009/043837	US 2011/0143435 June 16, 2011	9,006,193 April 14, 2015 Issued
PhaseRx -2400.USC1 UW - 44677.64US4 COJK - UWOTL-1-53502	USA	Polymeric Carrier	14/630,477	2/24/2015	Continuation of 12/992,536	US 2015/0238619 August 27, 2015	Abandoned
PhaseRx -2500.PCT1 UW - 44677.11WO2 COJK - UWOTL-1-0033275	PCT	Micelles for Intracellular Delivery of Therapeutic Agents	PCT/US2009/043853	5/13/2009	PCT 61/052,908 filed 5/13/08 61/052,914 filed 5/13/08 61/091,294 filed 8/22/08 61,112,054 filed 11/6/08 61/112,048 filed 11/6/08 61/140,779 filed 12/24/08 61/140,774 filed 12/24/08 61/171,369 filed 4/21/09 61/171,358 filed 4/21/09	WO/2009/140432 Nov. 19, 2009	Nationalized
PhaseRx -2500.US1 UW - 44677.11WO2 COJK - UWOTL-1-0033275	USA	Micelles for Intracellular Delivery of Therapeutic Agents	12/992,541	2/8/2011	National Phase of PCT/US2009/043853	US 2011/0142951 June 16, 2011	Abandoned
PhaseRx -2600.PCT1 UW - 44677.09WO2 COJK - UWOTL-1-0033273	PCT	Heterogeneous Polymeric Micelles for Intracellular Delivery	PCT/US2009/043859	5/13/2009	PCT 61/091,294 filed 8/22/08 61,112,054 filed 11/6/08 61/112,048 filed 11/6/08 61/140,779 filed 12/24/08 61/140,774 filed 12/24/08 61/171,369 filed 4/21/09 61/171,358 filed 4/21/09	WO/2010/021770 Feb. 25, 2010	Nationalized
PhaseRx -2600.US1 UW - 44677.51US2 COJK - UWOTL-1-36251	USA	Heterogeneous Polymeric Micelles for Intracellular Delivery	13/059,946	5/13/2009	National Phase of PCT/US2009/043859	US 2012/0021514 January 26, 2012	9,211,250 December 15, 2015 Issued
PhaseRx -2700.PCT1 UW - 44392.03WO2 COJK - UWOTL-1-0033270	PCT	Bispecific Intracellular Delivery Vehicles	PCT/US2009/043852	5/13/2009	PCT 61,112,054 filed 11/6/08 61/112,048 filed 11/6/08 61/140,779 filed 12/24/08 61/140,774 filed 12/24/08 61/171,381 filed 4/21/09	WO/2010/053596 May 14, 2010	Nationalized
PhaseRx -2700.US1 UW - 44392.07US2 COJK - UWOTL-1-0036782	USA	Bispecific Intracellular Delivery Vehicles	13/127,968	5/13/2009	National Phase of PCT/US2009/043852	US 2011/0281354 November 17, 2011	8,822,213 September 2, 2014 Issued
PhaseRx -2700.USC1 UW - 44392.08US4 COJK - UWOTL-1-0043698	USA	Bispecific Intracellular Delivery Vehicles	14/173,730	2/5/2014	Continuation of 13/127,968	US 2014/0228516 August 14, 2014	9,220,791 December 29, 2015 Issued
PhaseRx -2700.USC2 UW - 44392.09US4 COJK - UWOTL-1-53135	USA	Bispecific Intracellular Delivery Vehicles	14/957,429	12/2/2015	Continuation of 14/173,730	US 2016/0082121 March 24, 2016	Pending
PhaseRx -2700.CA1 UW - 44392.04CA2 COJK - UWOTL-1-0036779	CA	Bispecific Intracellular Delivery Vehicles	2,742,955	5/13/2009	National Phase of PCT/US2009/043852		Abandoned
PhaseRx -2700.EP1 UW - 44392.05EP2 COJK - UWOTL-1-0036780	EP	Bispecific Intracellular Delivery Vehicles	9825146.5	5/13/2009	National Phase of PCT/US2009/043852	EP 2352522 A1 August 10, 2011	Abandoned
PhaseRx -2800.PCT1 UW - 44677.10WO2 COJK - UWOTL-1-0033274	PCT	Micelles of Hydrophilically Shielded Membrane- Destabilizing Copolymers	PCT/US2009/043860	5/13/2009	PCT 61,112,054 filed 11/6/08 61/112,048 filed 11/6/08 61/140,779 filed 12/24/08 61/140,774 filed 12/24/08 61/171,369 filed 4/21/09 61/171,358 filed 4/21/09	WO/2010/053597 May 14, 2010	Nationalized
PhaseRx -2800.US1 UW - 44677.56US2 COJK - UWOTL-1-0036799	USA	Micelles of Hydrophilically Shielded Membrane- Destabilizing Copolymers	13/127,962	5/13/2009	National Phase of PCT/US2009/043860	US 2011/0281934 November 17, 2011	Abandoned
PhaseRx -2900.PRV1 UW - 44677.56US2 COJK - UWOTL-1-0036799	USA	Multiblock Copolymers	61/177,921	5/13/2009	Provisional		Claimed as Priority Document
PhaseRx -2900.PRV2 UW - 8593P.1PRO COJK - UWOTL-1-0033811	USA	Multiblock Copolymers	61/243,898	Sept. 18, 2009	Provisional		Claimed as Priority Document

PhaseRx -2900.PCT1 UW - 45161.03WO2 COJK - UWOTL-1-0034064	PCT	Multiblock Copolymers	PCT/US2009/063648	11/6/2009	61,112,054 filed 11/6/08 61/112,048 filed 11/6/08 61/140,779 filed 12/24/08 61/140,774 filed 12/24/08 61/171,369 filed 4/21/09 61/171,358 filed 4/21/09 61/177,921 filed 5/13/09 61/243,898 filed 9/18/09	WO/2010/054266 May 14, 2010		Nationalized
PhaseRx -2900.US1 UW - 45161.16.US2 COJK - UWOTL-1-0036778	USA	Multiblock Copolymers	13/127,959	11/6/2009	National Phase of PCT/US2009/063648	US 2011/0286957 November 24, 2011	9,464,300 October 11, 2016	Issued
PhaseRx -2900.USC1 UW - 45161.25US4 COJK - UWOTL-1-56731	USA	Multiblock Copolymers	15/254,874	9/1/2016	Continuation of 13/127,959	US 2017/0049801 February 23, 2017		Abandoned
PhaseRx -2900.EP1 UW - 45161.08EP2 COJK - UWOTL-1-0036770	EP	Multiblock Copolymers	9825524.3	11/6/2009	National Phase of PCT/US2009/063648	EP 2364330 A2 September 14, 2011	EP 2364330 B1 March 25, 2015	Issued
PhaseRx -2900.FR1 UW - 45161.19FR2 COJK -	FR	Multiblock Copolymers	9825524.3	11/6/2009	Validation of EP 2364330		EP 2364330 B1 March 25, 2015	Issued
PhaseRx - 2900.DE1 UW - 45161.20DE2 COJK -	DE	Multiblock Copolymers	9825524.3	11/6/2009	Validation of EP 2364330		EP 2364330 B1 March 25, 2015	Issued
PhaseRx - 2900.IE1 UW - 45161.21IE2 COJK -	IE	Multiblock Copolymers	9825524.3	11/6/2009	Validation of EP 2364330		EP 2364330 B1 March 25, 2015	Issued
PhaseRx - 2900.IT1 UW - 45161.22IT2 COJK -	IT	Multiblock Copolymers	9825524.3	11/6/2009	Validation of EP 2364330		EP 2364330 B1 March 25, 2015	Issued
PhaseRx - 2900.ES1 UW - 45161.23ES2 COJK -	ES	Multiblock Copolymers	9825524.3	11/6/2009	Validation of EP 2364330		EP 2364330 B1 March 25, 2015	Issued
PhaseRx - 2900.CH1 UW - 45161.24CH2 COJK -	CH	Multiblock Copolymers	9825524.3	11/6/2009	Validation of EP 2364330		EP 2364330 B1 March 25, 2015	Issued
PhaseRx - 2900.GB1 UW - 45161.18GB2 COJK -	GB	Multiblock Copolymers	9825524.3	11/6/2009	Validation of EP 2364330		EP 2364330 B1 March 25, 2015	Issued
PhaseRx -2900.AU1 UW - 45161.04AU2 COJK - UWOTL-1-0036766	AU	Multiblock Copolymers	2009313358	11/6/2009	National Phase of PCT/US2009/063648	Patent No. 2009313358 9/19/2013		Issued (To be Adandoned)
PhaseRx -2900.AUD1 UW - 45161.17AU3 COJK -	AU	Multiblock Copolymers	2013204152	11/6/2009	Divisional of 2009313358			Abandoned
PhaseRx -2900.BR1 UW - 45161.05BR2 COJK - UWOTL-1-0036767	BR	Multiblock Copolymers	PI09213570	11/6/2009	National Phase of PCT/US2009/063648			Abandoned
PhaseRx -2900.CA1 UW - 45161.05BR2 COJK - UWOTL-1-0036767	CA	Multiblock Copolymers	2,742,880	11/6/2009	National Phase of PCT/US2009/063648			Abandoned
PhaseRx -2900.CN1 UW - 45161.07CN2 COJK - UWOTL-1-0036769	CN	Multiblock Copolymers	200980148153.8	11/6/2009	National Phase of PCT/US2009/063648		ZL200980148153.8 3/12/2014 11/6/2029	Issued (To be Adandoned)
PhaseRx -2900.IL1 UW - 45161.10IL2 COJK - UWOTL-1-0036771	IL	Multiblock Copolymers	212459	11/6/2009	National Phase of PCT/US2009/063648			Abandoned
PhaseRx -2900.IN1 UW - 45161.09IN2 COJK - UWOTL-1-0036772	IN	Multiblock Copolymers	3370/DELNP/2011	11/6/2009	National Phase of PCT/US2009/063648			Pending (to be abandoned)
PhaseRx -2900.JP1 UW - 45161.11JP2 COJK - UWOTL-1-0036773	JP	Multiblock Copolymers	2011-534933	11/6/2009	National Phase of PCT/US2009/063648		5766611 6/26/2015 11/06/2029	Issued
PhaseRx -2900.KR1 UW - 45161.12KR2 COJK - UWOTL-1-0036774	KR	Multiblock Copolymers	10-2011-7012773	11/6/2009	National Phase of PCT/US2009/063648			Abandoned
PhaseRx -2900.MX1 UW - 45161.13MX2 COJK - UWOTL-1-0036775	MX	Multiblock Copolymers	2010/004242	11/6/2009	National Phase of PCT/US2009/063648		330456 5/29/2015 11/6/2029	Issued
PhaseRx -2900.SG1 UW - 45161.14SG2 COJK - UWOTL-1-036776	SG	Multiblock Copolymers	201103187-9	11/6/2009	National Phase of PCT/US2009/063648		171100 1/15/2014	Issued (To be Adandoned)
PhaseRx -2900.ZA1 UW - 45161.15ZA2 COJK - UWOTL-1-0036777	ZA	Multiblock Copolymers	2011/03289	11/6/2009	National Phase of PCT/US2009/063648		2011/03289 10/30/2013	Issued (To be Adandoned)
PhaseRx -3000.PRV1 UW - 8026P.1PRO1 COJK - UWOTL-1-0032260	USA	End-Functionalized Polymers and Junction- Functionalized Diblock Copolymers Via RAFT Chain Extension with Maleimido-Monomers	61/120,746	12/8/2008	Provisional			Lapsed
PhaseRx -3000.PRV2 UW - 8026P.1PRO2 COJK - UWOTL-1-0032282	USA	Omega-Functionalized Polymers, Junction Functionalized Block Copolymers, Polymer Bioconjugates and Radical Chain Extension Polymerization	61/120,756	12/8/2008	Provisional			Claimed as Priority Document
PhaseRx -3000.PCT1 UW - 44508.06WO2 COJK - UWOTL-1-0034171	PCT	Omega-Functionalized Polymers, Junction Functionalized Block Copolymers, Polymer Bioconjugates and Radical Chain Extension Polymerization	PCT/US2009/067193	12/8/2009	PCT 61/120,756 filed 12/08/08	WO/2010/077678 July 8, 2010		Nationalized
PhaseRx -3000.US1 UW - 44508.11US2 COJK - UWOTL-1-0037151	USA	Omega-Functionalized Polymers, Junction Functionalized Block Copolymers, Polymer Bioconjugates and Radical Chain Extension Polymerization	13/133,355	12/8/2009	National Phase of PCT/US2009/067193	US 2011/0305660 December 15, 2011	9,593,169 March 14, 2017	Issued

PhaseRx -3000.USC1 UW - 44508.12US4 COJK -	USA	Omega-Functionalized Polymers, Junction Functionalized Block Copolymers, Polymer Bioconjugates and Radical Chain Extension Polymerization	15/428,063	2/8/2017	Continuation of 13/133,355			Pending
PhaseRx -3000.CA1 UW - 44508.08CA2 COJK - UWOTL-1-0037148	CA	Omega-Functionalized Polymers, Junction Functionalized Block Copolymers, Polymer Bioconjugates and Radical Chain Extension Polymerization	2,745,926	12/8/2009	National Phase of PCT/US2009/067193			Abandoned
PhaseRx -3100.PRV1 UW - 8594P.1PRO COJK - UWOTL-1-0034092	USA	Hydrophobic Block Conjugated Therapeutic Agents	61/261,186	11/13/2009	Provisional			Claimed as Priority Document
PhaseRx -3100.PCT1 UW - 45162.02WO2 COJK - UWOTL-1-0035873	PCT	Hydrophobic Block Conjugated Therapeutic Agents	PCT/2010/056565	11/12/2010	PCT 61/261,186 filed 11/13/09	WO/2011/060281 May 19, 2011		Nationalized
PhaseRx -3100.US1 UW - 45162.03US2 COJK - UWOTL-1-39248	USA	Hydrophobic Block Conjugated Therapeutic Agents	13/509,557	5/11/2012	National Phase of PCT/2010/056565	US 2013/0017167 January 17, 2013		Abandoned
PhaseRx -3200.PRV1 UW - 45162.01US1 COJK - UWOTL-1-0034107	USA	Targeting Monomers and Polymers Having Targeting Blocks	61/262,512	11/18/2009	Provisional			Claimed as Priority Document
PhaseRx -3300.PRV1 UW - COJK -	USA	Folate and Folate Analog Targeting Monomers and Polymers Having Folate of Folate Analog Targeting Blocks	61/262516	11/18/2009	Provisional			Claimed as Priority Document
PhaseRx -3200.PCT1 UW - 45163.02WO2 COJK - UWOTL-1-0035874	PCT	Targeting Monomers and Polymers Having Targeting Blocks	PCT/US2010/056993	11/17/2010	PCT 61/262,512 filed 11/18/09 61/262,516 filed 11/18/09	WO/2011/062965 May 26, 2011		Nationalized
PhaseRx -3200.US1 UW - 45163.03US2 COJK - UWOTL-1-39249	USA	Targeting Monomers and Polymers Having Targeting Blocks	13/510,279	5/16/2012	National Phase of PCT/US2010/056993	US 2013/0011362 January 10, 2013	9,415,113 August 16, 2016	Issued
PhaseRx - 3500.PRV1 F&W -	USA	RNA Targeted to Beta-Catenin	61/718,313	10/25/2012	Provisional			Claimed as Priority Document
PhaseRx - 3500.PCT1 F&W -	PCT	RNA Targeted to Beta-Catenin	PCT/US13/26624	2/19/2013	PCT. US - 61/718,313 filed 10/25/12	WO 2014/065849 May 1, 2014		Nationalized
PhaseRx - 3500.US1 F&W -	USA	RNA Targeted to Beta-Catenin	14/437,923	4/23/2015	National Phase of PCT/US13/26624	US 2015/0291956 October 15, 2015		Abandoned
PhaseRx - 3600.PRV1 F&W -	USA	RNA Targeted to c-Met	61/751,495	1/11/2013	Provisional			Claimed as Priority Document
PhaseRx - 3600.PCT1 F&W -	PCT	RNA Targeted to c-Met	PCT/US13/26623	2/19/2013	PCT. US - 61/751,495 filed 01/11/13	WO 2014/109780 July 17, 2014		Nationalized
PhaseRx - 3600.US1 F&W -	USA	RNA Targeted to c-Met	14/760,010	7/9/2015	National Phase of PCT/US13/26623	US 2015/0337318 November 26, 2015		Abandoned
PhaseRx - 3700.PRV1 F&W -	USA	Block Copolymers	61/860,136	7/30/2013	Provisional			Claimed as Priority Document
PhaseRx - 3800.PRV1 F&W -	USA	Block Copolymers	61/868,122	8/21/2013	Provisional			Claimed as Priority Document
PhaseRx - 3800.PCT1 F&W - 33099-34134/PCT	PCT	Block Copolymers	PCT/US14/48839	7/30/2014	PCT US - 61/860,136 filed 7/30/2013 US - 61/868,122 filed 8/21/2013	WO 2015/017519 February 5, 2015		Nationalized
PhaseRx - 3800.US1 F&W - 33099-34134/US	USA	Block Copolymers	14/908,351	7/30/2014	National Phase of PCT/US14/48839	US 2016/0206750 July 21, 2016	9,867,885 January 16, 2018	Issue Fee Paid
PhaseRx - 3800.USC1 F&W - 33099-38980/US	USA	Block Copolymers	15/827,793	11/30/2017	Continuation of 14/908,351			Pending
PhaseRx - 3800.EP1 F&W - 33099-34134/EP	EP	Block Copolymers	14753175	7/30/2014	National Phase of PCT/US14/48839			Pending
PhaseRx - 3800.AU1 F&W - 33099-34134/AU	AU	Block Copolymers	2014296278	7/30/2014	National Phase of PCT/US14/48839			Pending
PhaseRx - 3800.CA1 F&W - 33099-34134/CA	CA	Block Copolymers	2919828	7/30/2014	National Phase of PCT/US14/48839			Pending
PhaseRx - 3900.PRV1 F&W -	USA	Methods, Compositions, and Systems for Delivering Therapeutic and Diagnostic Agents into Cells	62/106,024	1/21/2015	Provisional			Claimed as Priority Document
PhaseRx - 3900.PRV2 F&W - 33099-34170/US	USA	Methods, Compositions, and Systems for Delivering Therapeutic and Diagnostic Agents into Cells	62/173,847	6/10/2015	Provisional			Claimed as Priority Document
PhaseRx - 3900.PRV3 F&W - 33099-34171/US	USA	Methods, Compositions, and Systems for Delivering Therapeutic and Diagnostic Agents into Cells	62/233,568	9/28/2015	Provisional			Claimed as Priority Document
PhaseRx - 3900.PCT1 F&W - 33099-34172/PCT	PCT	Methods, Compositions, and Systems for Delivering Therapeutic and Diagnostic Agents into Cells	PCT/US16/14232	1/21/2016	PCT US - 62/106,024 filed 1/21/2015 US - 62/173,847 filed 6/10/2015 US - 62/233,568 filed 9/28/2015	WO/2016/118697 July 28, 2016		Nationalized
PhaseRx - 3900.US1 F&W - 33099-34172/US	USA	Methods, Compositions, and Systems for Delivering Therapeutic and Diagnostic Agents into Cells	15/545,302	1/21/2016	National Phase of PCT/US16/14232			Pending

PhaseRx - 3900.EP1 F&W - 33099-34172/EP	EP	Methods, Compositions, and Systems for Delivering Therapeutic and Diagnostic Agents into Cells	16703407.3	1/21/2016	National Phase of PCT/US16/14232			Pending
PhaseRx - 3900.AU1 F&W - 33099-34172/AU	AU	Methods, Compositions, and Systems for Delivering Therapeutic and Diagnostic Agents into Cells	2016209295	1/21/2016	National Phase of PCT/US16/14232			Pending
PhaseRx - 3900.CA1 F&W - 33099-34172/CA	CA	Methods, Compositions, and Systems for Delivering Therapeutic and Diagnostic Agents into Cells	2,974,503	1/21/2016	National Phase of PCT/US16/14232			Pending
PhaseRx - 3900.CN1 F&W - 33099-34172/CN	CN	Methods, Compositions, and Systems for Delivering Therapeutic and Diagnostic Agents into Cells	2.0168E+11	1/21/2016	National Phase of PCT/US16/14232			Pending
PhaseRx - 3900.IN1 F&W - 33099-34172/IN	IN	Methods, Compositions, and Systems for Delivering Therapeutic and Diagnostic Agents into Cells	201747028808	1/21/2016	National Phase of PCT/US16/14232			Pending
PhaseRx - 3900.JP1 F&W - 33099-34172/JP	JP	Methods, Compositions, and Systems for Delivering Therapeutic and Diagnostic Agents into Cells	2017-539356	1/21/2016	National Phase of PCT/US16/14232			Pending
PhaseRx - 4100.PRV1 F&W - 33099-35887/US	USA	Branched PEG Molecules and Related Compositions and Methods	62/440,941	12/30/2016	Provisional			Pending
PhaseRx - 4100.PRV2 F&W - 33099-36012/US	USA	Branched PEG Molecules and Related Compositions and Methods	62/446,186	1/13/2017	Provisional			Pending
PhaseRx - 4100.PCT1 F&W - 33099-36483/PCT	PCT	Branched PEG Molecules and Related Compositions and Methods	PCT/US17/68841	12/29/2017	PCT US - 62/440,941 filed 12/30/2016 US - 62/446,186 filed 1/13/2017			Pending

PhaseRx, Inc.
TRADEMARK REPORT
(October 27, 2017)

Mark	Country	Application No.	File Date	Registration No.	Registration Date	Goods and Services	Status	Upcoming Deadlines
HYBRID MRNA TECHNOLOGY	United States	87/152,206	8/26/2016			005 - Pharmaceutical preparations, namely, a drug delivery system composed of polymers and lipid nanoparticles delivered as integral components of drugs used for the delivery of macromolecules to human tissue 042 - Medical and scientific research in the fields of pharmaceuticals, biologics, drug delivery and medical therapeutics for others; product research and development for others in the fields of pharmaceutical formulations of macromolecules, macromolecular pharmaceutical products, macromolecular therapeutics, and delivery of macromolecular therapeutics	PENDING	January 11, 2018 Deadline to file Response to Final Office Action
PHASERX	United States	87/617,109	9/21/2017			042 - Development of new technology for others in the field of biotechnology	PENDING	March 21, 2018 Deadline to file Foreign Applications claiming priority to U.S. Application
PHASERX & Design 	United States	86/358,306	8/5/2014			005 - Drug delivery agents in the form of polymeric powder and liquid solutions for the targeted delivery of macromolecules and pharmaceutical preparations for medical purposes	ALLOWED	March 8, 2018 Deadline to File Statement of Use or 5th (FINAL) Extension Request
PHASERX & Design 	United States	85/976,141	8/13/2010	4,103,251	2/21/2012	042 - Medical and scientific research in the fields of pharmaceuticals, biologics, drug delivery and medical therapeutics; product research and development in the fields of pharmaceutical formulations of macromolecules, macromolecular pharmaceutical products, acromolecular therapeutics, and delivery of macromolecular therapeutics	REGISTERED	February 21, 2018 Deadline to file Sections 8 & 15 Declarations of Continued Use February 21, 2021 - February 21, 2022 Renewal Due
SMARTT POLYMER TECHNOLOGY	United States	86/358,309	8/5/2014			005 - Drug delivery agents in the form of polymeric powder and liquid solutions for delivery of macromolecular therapeutics for use in human and veterinary medicine	ALLOWED	March 8, 2018 Deadline to File Statement of Use or 5th (FINAL) Extension Request
SMARTT POLYMER TECHNOLOGY	United States	85/976,126	8/13/2010	4,106,933	2/28/2012	042 - Medical and scientific research in the fields of pharmaceuticals, biologics, drug delivery and medical therapeutics; product research and development in the fields of pharmaceutical formulations of macromolecules, macromolecular pharmaceutical products, macromolecular therapeutics, and delivery of macromolecular therapeutics	REGISTERED	February 28, 2018 Deadline to file Sections 8 & 15 Declarations of Continued Use February 28, 2021 - February 28, 2022 Renewal Due
UNLOCKING THE VALUE OF MRNA	United States	87/152,225	8/26/2016			005 - Pharmaceutical preparations, namely, therapeutic synthetic messenger RNA (mRNA) and delivery agents comprised of lipid nanoparticle carriers and synthetic polymers for the delivery of mRNA to human tissue. 042 - Medical and scientific research in the fields of pharmaceuticals, biologics, drug delivery and medical therapeutics for others; product research and development for others in the fields of pharmaceutical formulations of macromolecules, macromolecular pharmaceutical products, macromolecular therapeutics, and delivery of macromolecular therapeutics	ALLOWED	February 22, 2018 Deadline to File Statement of Use or 1st Extension Request
UNLOCKING THE VALUE OF RNA	United States	86/358,313	8/5/2014	4,820,834	9/29/2015	042 - Medical and scientific research in the fields of pharmaceuticals, biologics, drug delivery and medical therapeutics; product research and development in the fields of pharmaceutical formulations of macromolecules, macromolecular pharmaceutical products, macromolecular therapeutics, and delivery of macromolecular therapeutics	REGISTERED	September 29, 2020 - September 29, 2021 Deadline to file Sections 8 & 15 Declarations of Continued Use September 29, 2024 - September 29, 2025 Renewal Due

Fill in this information to identify the case:

Debtor PHASERX, INC.

United States Bankruptcy Court for the: DISTRICT OF DELAWARE

Case number 17-12890(CSS)
(If known)

☒ Check if this is an amended filing

Official Form 206E/F

Schedule E/F: Creditors Who Have Unsecured Claims

12/15

Be as complete and accurate as possible. Use Part 1 for creditors with **PRIORITY** unsecured claims and Part 2 for creditors with **NONPRIORITY** unsecured claims. List the other party to any executory contracts or unexpired leases that could result in a claim. Also list executory contracts on *Schedule A/B: Assets - Real and Personal Property* (Official Form 206A/B) and on *Schedule G: Executory Contracts and Unexpired Leases* (Official Form 206G). Number the entries in Parts 1 and 2 in the boxes on the left. If more space is needed for Part 1 or Part 2, fill out and attach the Additional Page of that Part included in this form.

Part 1: List All Creditors with PRIORITY Unsecured Claims

1. Do any creditors have priority unsecured claims? (See 11 U.S.C. § 507).

☐ No. Go to Part 2.

☒ Yes. Go to line 2.

2. List in alphabetical order all creditors who have unsecured claims that are entitled to priority in whole or in part. If the debtor has more than 3 creditors with priority unsecured claims, fill out and attach the Additional Page of Part 1.

2.1 Priority creditor's name and mailing address

STATE OF WA, DEPT. OF REVENUE
PO BOX 47477
OLYMPIA, WA 98507-7477

Date or dates debt was incurred

2006-2011

Last 4 digits of account number 8707

Specify Code subsection of **PRIORITY** unsecured claim: 11 U.S.C. § 507(a) (8)

As of the petition filing date, the claim is: \$

Total claim
31,761.00

Priority amount
\$ 31,761.00

Check all that apply.

- ☒ Contingent
☐ Unliquidated
☐ Disputed

Basis for the claim:
SALES AND USE TAXES

Is the claim subject to offset?

- ☒ No
☐ Yes

2.2 Priority creditor's name and mailing address

Date or dates debt was incurred

Last 4 digits of account number _____

Specify Code subsection of **PRIORITY** unsecured claim: 11 U.S.C. § 507(a) (____)

As of the petition filing date, the claim is: \$ _____

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

Basis for the claim:

Is the claim subject to offset?

- ☐ No
☐ Yes

2.3 Priority creditor's name and mailing address

Date or dates debt was incurred

Last 4 digits of account number _____

Specify Code subsection of **PRIORITY** unsecured claim: 11 U.S.C. § 507(a) (____)

As of the petition filing date, the claim is: \$ _____

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

Basis for the claim:

Is the claim subject to offset?

- ☐ No
☐ Yes

Part 2: List All Creditors with NONPRIORITY Unsecured Claims

3. List in alphabetical order all of the creditors with nonpriority unsecured claims. If the debtor has more than 4 creditors with nonpriority unsecured claims, fill out and attach the Additional Page of Part 2.

		Amount of claim
3.1	Nonpriority creditor's name and mailing address ACTION STOCK TRANSFER 2469 E FORT UNION BLVD SUITE 214 SALT LAKE CITY, UT 84121 Date or dates debt was incurred _____ Last 4 digits of account number _____	As of the petition filing date, the claim is: <i>Check all that apply.</i> \$ 83.10 <input type="checkbox"/> Contingent <input type="checkbox"/> Unliquidated <input type="checkbox"/> Disputed Basis for the claim: OTHER Is the claim subject to offset? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes
3.2	Nonpriority creditor's name and mailing address ADP, LLC 1851 N RESLER DRIVER MS-600 EL PASO, TX 79912 Date or dates debt was incurred _____ Last 4 digits of account number _____	As of the petition filing date, the claim is: <i>Check all that apply.</i> \$ 114.84 <input type="checkbox"/> Contingent <input type="checkbox"/> Unliquidated <input type="checkbox"/> Disputed Basis for the claim: SERVICE AGREEMENTS Is the claim subject to offset? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes
3.3	Nonpriority creditor's name and mailing address ALLSTREAM PO BOX 94118 TUKWILA, WA 98124-6418 Date or dates debt was incurred _____ Last 4 digits of account number _____	As of the petition filing date, the claim is: <i>Check all that apply.</i> \$ 904.95 <input type="checkbox"/> Contingent <input type="checkbox"/> Unliquidated <input type="checkbox"/> Disputed Basis for the claim: SERVICE AGREEMENTS Is the claim subject to offset? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes
3.4	Nonpriority creditor's name and mailing address BARRY KAPLAN ASSOCIATES 623 RIVER ROAD SUITE 200 FAIR HAVEN, NJ 07704 Date or dates debt was incurred _____ Last 4 digits of account number _____	As of the petition filing date, the claim is: <i>Check all that apply.</i> \$ 1,000.00 <input type="checkbox"/> Contingent <input type="checkbox"/> Unliquidated <input type="checkbox"/> Disputed Basis for the claim: PROFESSIONAL FEES Is the claim subject to offset? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes
3.5	Nonpriority creditor's name and mailing address BAYLOR COLLEGE OF MEDICINE PO BOX 301503 DALLAS, TX 75303-1503 Date or dates debt was incurred _____ Last 4 digits of account number _____	As of the petition filing date, the claim is: <i>Check all that apply.</i> \$ 7,000.00 <input type="checkbox"/> Contingent <input type="checkbox"/> Unliquidated <input type="checkbox"/> Disputed Basis for the claim: OTHER Is the claim subject to offset? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes
3.6	Nonpriority creditor's name and mailing address BEACON HILL FINANCIAL 701 5TH AVENUE 42ND FLOOR SEATTLE, WA 98104 Date or dates debt was incurred _____ Last 4 digits of account number _____	As of the petition filing date, the claim is: <i>Check all that apply.</i> \$ 552.75 <input type="checkbox"/> Contingent <input type="checkbox"/> Unliquidated <input type="checkbox"/> Disputed Basis for the claim: PROFESSIONAL FEES Is the claim subject to offset? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes

Part 2: Additional Page

Copy this page only if more space is needed. Continue numbering the lines sequentially from the previous page. If no additional NONPRIORITY creditors exist, do not fill out or submit this page.

Amount of claim

3.7 Nonpriority creditor's name and mailing address

BIO-RAD LABORATORIES, INC.
PO BOX 849740
LOS ANGELES, CA 90084-9740

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$ 451.41

Basis for the claim: TRADE

Date or dates debt was incurred

Is the claim subject to offset?

Last 4 digits of account number

- ☒ No
☐ Yes

3.8 Nonpriority creditor's name and mailing address

CANALE COMMUNICATIONS INC.
4010 GOLDFINCH ST.
SAN DIEGO, CA 92103

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$ 7,337.50

Basis for the claim: SERVICE AGREEMENTS

Date or dates debt was incurred

Is the claim subject to offset?

Last 4 digits of account number

- ☒ No
☐ Yes

3.9 Nonpriority creditor's name and mailing address

COMCAST
PO BOX 34744
SEATTLE, WA 98124-1744

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$ 386.36

Basis for the claim: SERVICE AGREEMENTS

Date or dates debt was incurred

Is the claim subject to offset?

Last 4 digits of account number

6986

- ☒ No
☐ Yes

3.10 Nonpriority creditor's name and mailing address

COPIERS NORTHWEST, INC.
601 DEXTER AVE. N.
SEATTLE, WA 98109

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$ 533.05

Basis for the claim: SERVICE AGREEMENTS

Date or dates debt was incurred

Is the claim subject to offset?

Last 4 digits of account number

1261

- ☒ No
☐ Yes

3.11 Nonpriority creditor's name and mailing address

DENOVAN LLC
9792 EDMONDS WAY #120
EDMONDS, WA 98020

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$ 580.00

Basis for the claim: SERVICE AGREEMENTS

Date or dates debt was incurred

Is the claim subject to offset?

Last 4 digits of account number

- ☒ No
☐ Yes

Part 2: Additional Page

Copy this page only if more space is needed. Continue numbering the lines sequentially from the previous page. If no additional NONPRIORITY creditors exist, do not fill out or submit this page.

Amount of claim

3.12 Nonpriority creditor's name and mailing address

FENWICK & WEST LLP
PO BOX 742814
LOS ANGELES, CA 90074-2814

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$ 2,935.43

Basis for the claim: PROFESSIONAL FEES

Date or dates debt was incurred

Is the claim subject to offset?

- ☒ No
☐ Yes

Last 4 digits of account number

3.13 Nonpriority creditor's name and mailing address

FIDELITY INVESTMENTS
PO BOX 73307
CHICAGO, IL 60673-7307

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$ 2,125.00

Basis for the claim: CONTRIBUTIONS TO EMPLOYEE BENEFIT F

Date or dates debt was incurred

Is the claim subject to offset?

- ☒ No
☐ Yes

Last 4 digits of account number 0910

3.14 Nonpriority creditor's name and mailing address

FORTMUELLER HR CONSULTING LLC
1223 LANCASTER WAY SE
SAMMAMISH, WA 98075

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$ 650.00

Basis for the claim: SERVICE AGREEMENTS

Date or dates debt was incurred

Is the claim subject to offset?

- ☒ No
☐ Yes

Last 4 digits of account number

3.15 Nonpriority creditor's name and mailing address

FRED HUTCHINSON CANCER RESEARCH CENTER
1100 FAIRVIEW AVENUE N
#J6-330
PO BOX 19024
SEATTLE, WA 98109-1024

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$ 700.00

Basis for the claim: TRADE

Date or dates debt was incurred

Is the claim subject to offset?

- ☒ No
☐ Yes

Last 4 digits of account number

3.16 Nonpriority creditor's name and mailing address

H.C. WAINWRIGHT & CO., LLC
430 PARK AVENUE, 4TH FLOOR
NEW YORK, NY 10022

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$ 100,000.00

Basis for the claim: SERVICE AGREEMENTS

Date or dates debt was incurred

Is the claim subject to offset?

- ☒ No
☐ Yes

Last 4 digits of account number

Part 2: Additional Page

Copy this page only if more space is needed. Continue numbering the lines sequentially from the previous page. If no additional NONPRIORITY creditors exist, do not fill out or submit this page.

Amount of claim

3.17 Nonpriority creditor's name and mailing address

HAYNES AND BOONE LLP
PO BOX 841399
DALLAS, TX 75284-1399

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$ 9,340.12

Basis for the claim: PROFESSIONAL FEES

Date or dates debt was incurred

Is the claim subject to offset?

- ☒ No
☐ Yes

Last 4 digits of account number

3.18 Nonpriority creditor's name and mailing address

INTEGRATED DNA TECHNOLOGIES INC.
25104 NETWORK PLACE
CHICAGO, IL 60673-1251

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$ 77.07

Basis for the claim: TRADE

Date or dates debt was incurred

Is the claim subject to offset?

- ☒ No
☐ Yes

Last 4 digits of account number

3.19 Nonpriority creditor's name and mailing address

LESCHI CONSULTANTS, LLC
157 POWER AVE.
SEATTLE, WA 98122

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$ 1,000.28

Basis for the claim: SERVICE AGREEMENTS

Date or dates debt was incurred

Is the claim subject to offset?

- ☒ No
☐ Yes

Last 4 digits of account number

3.20 Nonpriority creditor's name and mailing address

MEDICLEANSE
PO BOX 957
RENTON, WA 98057

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$ 79.24

Basis for the claim: TRADE

Date or dates debt was incurred

Is the claim subject to offset?

- ☒ No
☐ Yes

Last 4 digits of account number

3.21 Nonpriority creditor's name and mailing address

MERRILL COMMUNICATIONS LLC
CM-9638
ST. PAUL, MN 55170-9638

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$ 2,517.37

Basis for the claim: SERVICE AGREEMENTS

Date or dates debt was incurred

Is the claim subject to offset?

- ☒ No
☐ Yes

Last 4 digits of account number

Part 2: Additional Page

Copy this page only if more space is needed. Continue numbering the lines sequentially from the previous page. If no additional NONPRIORITY creditors exist, do not fill out or submit this page.

Amount of claim

3.22 Nonpriority creditor's name and mailing address

PETERSON SULLIVAN
601 UNION ST
SUITE 2300
SEATTLE, WA 98101

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$ 2,800.00

Basis for the claim: SERVICE AGREEMENTS

Date or dates debt was incurred

Is the claim subject to offset?

- ☒ No
☐ Yes

Last 4 digits of account number

3.23 Nonpriority creditor's name and mailing address

PHOENIX LAB
4338 HARBOUR POINTE BLVD SW
MUKILTEO, WA 98275

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$ 4,221.00

Basis for the claim: SERVICE AGREEMENTS

Date or dates debt was incurred

Is the claim subject to offset?

- ☒ No
☐ Yes

Last 4 digits of account number

3.24 Nonpriority creditor's name and mailing address

RHONDA STINSON MEIER
1305 180TH AVE NE
BELLEVUE, WA 98008

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$ 856.00

Basis for the claim: SERVICE AGREEMENTS

Date or dates debt was incurred

Is the claim subject to offset?

- ☒ No
☐ Yes

Last 4 digits of account number

3.25 Nonpriority creditor's name and mailing address

STERICYCLE ENVIRONMENTAL SOLUTIONS
27727 NETWORK PLACE
CHICAGO, IL 60673-1277

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$ 1,124.75

Basis for the claim: SERVICE AGREEMENTS

Date or dates debt was incurred

Is the claim subject to offset?

- ☒ No
☐ Yes

Last 4 digits of account number

3.26 Nonpriority creditor's name and mailing address

THE DURNAM CONSULTING GROUP LLC
5400 42ND AVENUE WEST
SEATTLE, WA 98199

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$ 297.00

Basis for the claim: SERVICE AGREEMENTS

Date or dates debt was incurred

Is the claim subject to offset?

- ☒ No
☐ Yes

Last 4 digits of account number

Part 2: Additional Page

Copy this page only if more space is needed. Continue numbering the lines sequentially from the previous page. If no additional NONPRIORITY creditors exist, do not fill out or submit this page.

Amount of claim

3.27 Nonpriority creditor's name and mailing address

THE JACKSON LABORATORY
600-610 MAIN STREET
BAR HARBOR, ME 04609

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☒ Disputed

\$ 1,813.09

Basis for the claim: TRADE

Date or dates debt was incurred

Is the claim subject to offset?

Last 4 digits of account number

- ☒ No
☐ Yes

3.28 Nonpriority creditor's name and mailing address

TOPPAN VINTAGE
747 3RD AVE, 7TH FLOOR
NEW YORK, NY 10017

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$ 16,000.00

Basis for the claim: SERVICE AGREEMENTS

Date or dates debt was incurred

Is the claim subject to offset?

Last 4 digits of account number

- ☒ No
☐ Yes

3.29 Nonpriority creditor's name and mailing address

U OF W TECH TRANSFER
COMOTION
4545 ROOSEVELT WAY NE, SUITE 400
SEATTLE, WA 98105

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$ 13,053.81

Basis for the claim: PROFESSIONAL FEES

Date or dates debt was incurred

Is the claim subject to offset?

Last 4 digits of account number

- ☒ No
☐ Yes

3.30 Nonpriority creditor's name and mailing address

UW-DEPT. OF COMPARATIVE MEDICINE
HS BLDG. ROOM I-458
PO BOX 357340
1959 NE PACIFIC STREET
SEATTLE, WA 98195

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$ 3,617.18

Basis for the claim: TRADE

Date or dates debt was incurred

Is the claim subject to offset?

Last 4 digits of account number

- ☒ No
☐ Yes

3.31 Nonpriority creditor's name and mailing address

WASTE MANAGEMENT
PO BOX 43290
PHOENIX, AZ 85080

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$ 105.06

Basis for the claim: SERVICE AGREEMENTS

Date or dates debt was incurred

Is the claim subject to offset?

Last 4 digits of account number

- ☒ No
☐ Yes

Part 2: Additional Page

Copy this page only if more space is needed. Continue numbering the lines sequentially from the previous page. If no additional NONPRIORITY creditors exist, do not fill out or submit this page.

Amount of claim

3.32 Nonpriority creditor's name and mailing address

WILSON SONSINI GOODRICH AND ROSATI
701 5TH AVENUE
#5100
SEATTLE, WA 98104

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$ 106,458.78

Basis for the claim: PROFESSIONAL FEES

Date or dates debt was incurred

Is the claim subject to offset?

Last 4 digits of account number

- ☒ No
☐ Yes

3. Nonpriority creditor's name and mailing address

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$

Basis for the claim:

Date or dates debt was incurred

Is the claim subject to offset?

Last 4 digits of account number

- ☐ No
☐ Yes

3. Nonpriority creditor's name and mailing address

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$

Basis for the claim:

Date or dates debt was incurred

Is the claim subject to offset?

Last 4 digits of account number

- ☐ No
☐ Yes

3. Nonpriority creditor's name and mailing address

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$

Basis for the claim:

Date or dates debt was incurred

Is the claim subject to offset?

Last 4 digits of account number

- ☐ No
☐ Yes

3. Nonpriority creditor's name and mailing address

As of the petition filing date, the claim is:

Check all that apply.

- ☐ Contingent
☐ Unliquidated
☐ Disputed

\$

Basis for the claim:

Date or dates debt was incurred

Is the claim subject to offset?

Last 4 digits of account number

- ☐ No
☐ Yes

Part 4: Total Amounts of the Priority and Nonpriority Unsecured Claims**5. Add the amounts of priority and nonpriority unsecured claims.****Total of claim amounts**5a. **Total claims from Part 1**

5a. \$ 31,761.00

5b. **Total claims from Part 2**

5b. + \$ 288,715.14

5c. **Total of Parts 1 and 2**

Lines 5a + 5b = 5c.

5c. \$ 320,476.14

Fill in this information to identify the case:Debtor name PHASERX, INC.United States Bankruptcy Court for the: DISTRICT OF DELAWARECase number (If known) 17-12890(CSS) Chapter CHAPTER 11☒ Check if this is an amended filing

Official Form 206G

Schedule G: Executory Contracts and Unexpired Leases

12/15

Be as complete and accurate as possible. If more space is needed, copy and attach the additional page, numbering the entries consecutively.

1. Does the debtor have any executory contracts or unexpired leases?☐ No. Check this box and file this form with the court with the debtor's other schedules. There is nothing else to report on this form.☒ Yes. Fill in all of the information below even if the contracts or leases are listed on *Schedule A/B: Assets - Real and Personal Property* (Official Form 206A/B).**2. List all contracts and unexpired leases****State the name and mailing address for all other parties with whom the debtor has an executory contract or unexpired lease****2.1****State what the contract or lease is for and the nature of the debtor's interest**

CONTRACT

State the term remaining**List the contract number of any government contract**

ADP, LLC

ADP TAX SERVICES
400 WEST COVINA BLVD.
SAN DIMAS, CA 91773**2.2****State what the contract or lease is for and the nature of the debtor's interest**

CONTRACT

State the term remaining**List the contract number of any government contract**

AIM CAPITAL, DBA BARRY KAPLAN ASSOCIATES

623 RIVER ROAD
STE. 200
FAIR HAVEN, NJ 07704**2.3****State what the contract or lease is for and the nature of the debtor's interest**

CONTRACT

State the term remaining**List the contract number of any government contract**

ALEXANDER TUSTIAN, PHD

5615 101ST ST. SW
MUKILTEO, WA 98275**2.4****State what the contract or lease is for and the nature of the debtor's interest**

CONTRACT

State the term remaining**List the contract number of any government contract**

ALLAN HOFFMAN

10616 RIVIERA PL. NE
SEATTLE, WA 98125**2.5****State what the contract or lease is for and the nature of the debtor's interest**

CONTRACT

State the term remaining**List the contract number of any government contract**

ALLEN LI

2000 WAVERLY PL.
#N4
SEATTLE, WA 98109

Debtor PHASERX, INC.
NameCase number (if known) 17-12890(CSS)**Additional Page if Debtor Has More Executory Contracts or Unexpired Leases**

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List all contracts and unexpired leases**State the name and mailing address for all other parties with whom the debtor has an executory contract or unexpired lease**

2. <u>6</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	<p>CONTRACT</p> <p>AMBER PASCHAL</p> <p>1002 145TH ST. SE BELLEVUE, WA 98007</p>
2. <u>7</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	<p>CONTRACT</p> <p>AMY HOHMANN JORS</p> <p>20530 NE 32ND CT. SAMMAMISH, WA 98074</p>
2. <u>8</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	<p>CONTRACT</p> <p>AMY JORS</p> <p>20530 NE 32ND COURT SAMMAMISH, WA 98074</p>
2. <u>9</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	<p>CONTRACT</p> <p>ANDREW LEON</p> <p>2013 30TH AVE NE ISSAQUAH, WA 98029</p>
2. <u>10</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	<p>CONTRACT</p> <p>ANNA GALPERIN</p> <p>9039 49TH AVENUE NE SEATTLE, WA 98115</p>
2. <u>11</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	<p>LEASES</p> <p>NON-RESIDENTIAL REAL ESTATE LEASE-410 W. HARRISON, SEATTLE, WA, ORIGINALLY DATED 2/9/10, AND AS SUBSEQUENTLY AMENDED</p> <p>ARE-SEATTLE NO. 10, LLC</p> <p>385 E. COLORADO BOUELVARD SUITE 299 PASADENA, CA 91101</p>
2. <u>12</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	<p>LEASES</p> <p>NON-RESIDENTIAL REAL ESTATE LEASE-410 ELLIOTT, SEATTLE, WA, ORIGINALLY DATED 2/9/10, AND AS SUBSEQUENTLY AMENDED</p> <p>ARE-SEATTLE NO. 10, LLC</p> <p>385 E. COLORADO BOUELVARD SUITE 299 PASADENA, CA 91101</p>

Debtor PHASERX, INC.
NameCase number (if known) 17-12890(CSS)**Additional Page if Debtor Has More Executory Contracts or Unexpired Leases**

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List all contracts and unexpired leases**State the name and mailing address for all other parties with whom the debtor has an executory contract or unexpired lease**

2. <u>13</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>AXIS INSURANCE COMPANY</p> <p>PROFESSIONAL LINES 300 CONNELL DR. PO BOX 357 BERKELEY HEIGHTS, NJ 07922-0357</p>
2. <u>14</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>BAYLOR COLLEGE OF MEDICINE</p> <p>PATRICK TURLEY, ASSOCIATE GENERAL COUNSEL ONE BAYLOR PLAZA BCM210-600D HOUSTON, TX 77030</p>
2. <u>15</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>BETH FORTMUELLER</p> <p>1223 LANCASTER WAY SE SAMMAMISH, WA 98075</p>
2. <u>16</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>BRIAN G. ATWOOD</p> <p>VERSANT VENTURES 3000 SAND HILL ROAD, 4/210 MENLO PARK, CA 94025</p>
2. <u>17</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>CANALE COMMUNICATIONS INC.</p> <p>4010 GOLDFINCH ST. SAN DIEGO, CA 92103</p>
2. <u>18</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>CFO SELECTIONS LLC</p> <p>310 120TH AVE. NE SUITE 101 BELLEVUE, WA 98005</p>
2. <u>19</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>CHIDREN'S MEDICAL RESEARCH INSTITUTE</p> <p>214 HAWKESBURY RD. WESTMEAD AUSTRALIA</p>

Debtor PHASERX, INC.
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List all contracts and unexpired leases**State the name and mailing address for all other parties with whom the debtor has an executory contract or unexpired lease**

2. <u>20</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>CHILDREN'S RESEARCH INSTITUTE</p> <p>111 MICHIGAN AVENUE, NW WASHINGTON, DC 20010</p>
2. <u>21</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>CHORD ADVISORS, LLC</p> <p>3300 IRVINE AVENUE, SUITE 350 NEWPORT BEACH, CA 92660</p>
2. <u>22</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>COMCAST BUSINESS</p> <p>COMCAST CENTER 1701 JFK BOULEVARD PHILADELPHIA, PA 19103</p>
2. <u>23</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>COMMONWEALTH SCIENTIFIC AND INDUSTRIAL RESEARCH ORGANIZA</p> <p>IAN WARK LABORATORY BAYVIEW AVENUE CLAYTON, VICTORIA 3168 AUSTRALIA</p>
2. <u>24</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>CONTINENTAL CASUALTY COMPANY</p> <p>333 S. WABASH CHICAGO, IL 60604</p>
2. <u>25</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>COPIERS NORTHWEST, INC.</p> <p>601 DEXTER AVE. N. SEATTLE, WA 98109</p>
2. <u>26</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>COWEN AND COMPANY, LLC</p> <p>599 LEXINGTON AVENUE, 20TH FLOOR NEW YORK, NY 10022</p>

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2. <u>27</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>CULLIGAN INTERNATIONAL COMPANY</p> <p>9399 W. HIGGINS ROAD, SUITE 1100 ROSEMONT, IL 60018</p>
2. <u>28</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>DAVID MAKI</p> <p>1014 MARKET ST. SUITE 200 KIRKLAND, WA 98033</p>
2. <u>29</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>DAVID TIRRELL, PHD</p> <p>714 ARDEN RD. PASADENA, CA 91106</p>
2. <u>30</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>DEBASHISH ROY, PHD</p> <p>12520 19TH AVE. NE SEATTLE, WA 98125</p>
2. <u>31</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>DONLIN, RECANO & COMPANY, INC.</p> <p>6201 15TH AVENUE BROOKLYN, NY 11219</p>
2. <u>32</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>E*TRADE FINANCIAL CORPORATE SERVICES, INC.</p> <p>4005 WINDWARD PLAZA ALPHARETTA, GA 30005</p>
2. <u>33</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>EIC ENVIRONMENTAL HEALTH AND SAFETY</p> <p>9792 EDMONDS WAY #120 EDMONDS, WA 98020</p>

Debtor PHASERX, INC.
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List all contracts and unexpired leases**State the name and mailing address for all other parties with whom the debtor has an executory contract or unexpired lease**

2. 34 State what the contract or lease is for and the nature of the debtor's interest CONTRACT ENVIRONMENT CONTROL BUILDING MAINTENANCE

State the term remaining 7116 220TH ST SW

List the contract number of any government contract MOUNTLAKE TERRACE, WA 98043

2. 35 State what the contract or lease is for and the nature of the debtor's interest CONTRACT ERIC BELL

State the term remaining 21832 68TH PLACE NE

List the contract number of any government contract GRANITE FALLS, WA 98252

2. 36 State what the contract or lease is for and the nature of the debtor's interest CONTRACT FIDELITY MANAGEMENT TRUST COMPANY

State the term remaining 82 DEVONSHIRE STREET

List the contract number of any government contract BOSTON, MA 02109

2. 37 State what the contract or lease is for and the nature of the debtor's interest CONTRACT FISHER SCIENTIFIC COMPANY, LLC

State the term remaining ATTN.: MARKETING MANAGER-BIOTECH SEGMENT

List the contract number of any government contract 2000 PARK LANE DRIVE

PITTSBURGH, PA 15275

2. 38 State what the contract or lease is for and the nature of the debtor's interest CONTRACT FORTMUELLER HR CONSULTING LLC

State the term remaining 1223 LANCASTER WAY SE

List the contract number of any government contract SAMMAMISH, WA 98075

2. 39 State what the contract or lease is for and the nature of the debtor's interest CONTRACT FTI CONSULTING, INC.

State the term remaining 555 12TH STREET NW, SUITE 700

List the contract number of any government contract WASHINGTON, DC 20004

2. 40 State what the contract or lease is for and the nature of the debtor's interest CONTRACT GEORGE TYSON

State the term remaining 13472 ROBLEDA RD.

List the contract number of any government contract LOS ALTOS HILLS, CA 94022

Debtor PHASERX, INC.
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List all contracts and unexpired leases**State the name and mailing address for all other parties with whom the debtor has an executory contract or unexpired lease**

2. <u>41</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>GORDON BRANDT</p> <p>790 KALMIA CT. NW ISSAQUAH, WA 98027</p>
2. <u>42</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>GORDON BRANDT, MD</p> <p>790 KALMIA CT. NW ISSAQUAH, WA 98027</p>
2. <u>43</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>GORDON BRANDT, MD</p> <p>790 KALMIA CT. NW ISSAQUAH, WA 98027</p>
2. <u>44</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>GUANQUN LI, PHD</p> <p>3203 SW 12TH AVE. PORTLAND, OR 97239</p>
2. <u>45</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>HELEN TSUI</p> <p>3230 73RD AVE SE MERCER ISLAND, WA 98040</p>
2. <u>46</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>HERCULES CAPITAL, INC.</p> <p>400 HAMILTON AVENUE SUITE 310 PALO ALTO, CA 94301</p>
2. <u>47</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>HERCULES CAPITAL, INC.</p> <p>400 HAMILTON AVE., SUITE 310 PALO ALTO, CA 94301</p>

Debtor PHASERX, INC.
NameCase number (if known) 17-12890(CSS)**Additional Page if Debtor Has More Executory Contracts or Unexpired Leases**

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List all contracts and unexpired leases**State the name and mailing address for all other parties with whom the debtor has an executory contract or unexpired lease**

2. <u>48</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>HISCOX INC.</p> <p>520 MADISON AVE., 32ND FLOOR NEW YORK, NY 10022</p>
2. <u>49</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>HOUSTON CASUALTY COMPANY</p> <p>TOKIO MARINE HCC-D&O GROUP 8 FOREST PARK DRIVE FARMINGTON, CT 06032</p>
2. <u>50</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>INFORMATION TECHNOLOGY INDUSTRY HEALTH TRUST/SEATTLE CHA</p> <p>999 THIRD AVENUE, SUITE 4100 SEATTLE, WA 98104</p>
2. <u>51</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>JAMES WATSON</p> <p>107 WILLIAM AVE. LARKSPUR, CA 94939</p>
2. <u>52</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>JEAN-RENE ELLA-MENYE, PHD</p> <p>465 N. 45TH ST., APT. 303 SEATTLE, WA 98103</p>
2. <u>53</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>JEFF COLLER</p> <p>14140 CAVES ROAD NOVELTY, OH 44072</p>
2. <u>54</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>JKR, LLC, DBA MEDICLEANSE</p> <p>P. O. BOX 957 RENTON, WA 98057</p>

Debtor PHASERX, INC.
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2. <u>55</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>JOHN A. SCHMIDT</p> <p>709 7TH AVENUE BELMAR, NJ 7719</p>
2. <u>56</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>JOHN ANTOS, PHD</p> <p>142 S. 42ND ST. BELLINGHAM, WA 98229</p>
2. <u>57</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>KING COUNTY METRO TRANSIT</p> <p>201 S. JACKSON ST. KSC-TR-0326 SEATTLE, WA 98104</p>
2. <u>58</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>LESCHI CONSULTANTS, LLC</p> <p>157 POWER AVE. SEATTLE, WA 98122</p>
2. <u>59</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>MARY G. PRIEVE</p> <p>4663 NE 178TH ST. LAKE FOREST PARK, WA 98115</p>
2. <u>60</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>MARY PRIEVE, PHD</p> <p>4663 NE 178TH ST. LAKE FOREST PARK, WA 98115</p>
2. <u>61</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>MARY PRIEVE, PHD</p> <p>4663 NE 178TH ST. LAKE FOREST PARK, WA 98115</p>

Debtor PHASERX, INC.
NameCase number (if known) 17-12890(CSS)**Additional Page if Debtor Has More Executory Contracts or Unexpired Leases**

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List all contracts and unexpired leases**State the name and mailing address for all other parties with whom the debtor has an executory contract or unexpired lease**

2. <u>62</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>MATT WALDHEIM</p> <p>3864 35TH AVE SW SEATTLE, WA 98126</p>
2. <u>63</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>MAYO FOUNDATION FOR MEDICAL EDUCATION AND RESEARCH</p> <p>200 FIRST STREET SW ROCHESTER, MN 55905-0001</p>
2. <u>64</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>MERRILL COMMUNICATIONS LLC</p> <p>1420 5TH AVE., SUITE 3250 SEATTLE, WA 98101</p>
2. <u>65</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>MG PARTNERS</p> <p>20 HARCOURT STREET, DUBLIN 2, IRELAND DUBLIN 2 IRELAND</p>
2. <u>66</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>MICHAEL HOUSTON</p> <p>22626 NE INGLEWOOD HILL RD. #165 SAMMAMISH, WA 98074</p>
2. <u>67</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>MICHAEL HOUSTON, PHD</p> <p>8512 122ND AVE NE #165 KIRKLAND, WA 98033</p>
2. <u>68</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>MICHELLE GRIFFIN</p> <p>10723 NE 65TH LN. KIRKLAND, WA 98033</p>

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2. <u>69</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>MIKE HOUSTON, PHD</p> <p>8512 122ND AVE NE #165 KIRKLAND, WA 98033</p>
2. <u>70</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>MIKE MALAFRONTÉ</p> <p>2315 216TH PL. NE SAMMAMISH, WA 98074</p>
2. <u>71</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>NATIONAL FIRE INSURANCE COMPANY OF HARTFORD</p> <p>333 S. WABASH CHICAGO, IL 60604</p>
2. <u>72</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>NICHOLAS M. DEAN</p> <p>2110 WHISPER WIND LANE ENCINITAS, CA 94024</p>
2. <u>73</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>NOFSINGER GROUP</p> <p>719 SECOND AVE. SUITE 1406 SEATTLE, WA 98104</p>
2. <u>74</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>NORMA ALLEWELL, PHD</p> <p>5809 TUDOR LANE ROCKVILLE, MD 20852</p>
2. <u>75</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>NORTHWEST PK SOLUTIONS LLC</p> <p>36229 160TH ST. SE SULTAN, WA 98294</p>

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2. <u>76</u>	State what the contract or lease is for and the nature of the debtor's interest	CONTRACT	OLIVER W. PRESS LLC 11018 EXETER AVE. NE SEATTLE, WA 98125
	State the term remaining		
	List the contract number of any government contract		
2. <u>77</u>	State what the contract or lease is for and the nature of the debtor's interest	CONTRACT	OMM SCIENTIFIC, INC. 9995 MONROE DRIVE SUITE 121 DALLAS, TX 75220
	State the term remaining		
	List the contract number of any government contract		
2. <u>78</u>	State what the contract or lease is for and the nature of the debtor's interest	CONTRACT	PARAGON INTERNATIONAL INSURANCE BROKERS LTD. HISCOX, ATTN. PUBLIC DIRECTORS & OFFICERS CLAIMS 101 CALIFORNIA STREET, SUITE 1950 SAN FRANCISCO, CA 94111
	State the term remaining		
	List the contract number of any government contract		
2. <u>79</u>	State what the contract or lease is for and the nature of the debtor's interest	CONTRACT	PAT STAYTON 3701 NW 68TH ST. SEATTLE, WA 98117
	State the term remaining		
	List the contract number of any government contract		
2. <u>80</u>	State what the contract or lease is for and the nature of the debtor's interest	CONTRACT	PATRICK CHARMLEY, PHD 401 21ST AVENUE SEATTLE, WA 98122
	State the term remaining		
	List the contract number of any government contract		
2. <u>81</u>	State what the contract or lease is for and the nature of the debtor's interest	CONTRACT	PAUL H. JOHNSON 12020 211TH PLACE SE SNOHOMISH, WA 98296
	State the term remaining		
	List the contract number of any government contract		
2. <u>82</u>	State what the contract or lease is for and the nature of the debtor's interest	CONTRACT	PEGGY V. PHILLIPS 1008 NW ELFORD DR. SEATTLE, WA 98177
	State the term remaining		
	List the contract number of any government contract		

Debtor PHASERX, INC.
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2. <u>83</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>PETER SENTER, PHD</p> <p>9000 40TH AVE. NE SEATTLE, WA 98115</p>
2. <u>84</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>PETERSON SULLIVAN LLP</p> <p>601 UNION STREET, SUITE 2300 SEATTLE, WA 98101</p>
2. <u>85</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>PIERROT HARVIE, PHD</p> <p>530 W. OLYMPIC PL. #206 SEATTLE, WA 98119</p>
2. <u>86</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>POLYMUN SCIENTIFIC IMMUNBIOLOGISCHE FORSCHUNG GMBH</p> <p>DONAUSTRASSE 99 KLOSTERNEUBURG 3400 AUSTRIA</p>
2. <u>87</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>POSADA & ASSOCIATES, INC.</p> <p>35 MEADOW CREEK MAY, ID 83253</p>
2. <u>88</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>QPS HOLDINGS, LLC</p> <p>3 INNOVATION WAY, SUITE 240 DELAWARE TECHNOLOGY PARK NEWARK, DE 19711</p>
2. <u>89</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>QUANTA BIODESIGN, LTD.</p> <p>7470 MONTGOMERY DRIVE PLAIN CITY, OK 43064</p>

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2. <u>90</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>RAYMOND CALVERT</p> <p>330 N. 61ST ST. SEATTLE, WA 98103</p>
2. <u>91</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>RHONDA STINSON MEIER</p> <p>1305 180TH AVE NE BELLEVUE, WA 98008</p>
2. <u>92</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>RICHARD RIEGER</p> <p>26636 LONGMEADOW DRIVE COUNTRYSIDE LAKE, IL 60060</p>
2. <u>93</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>RICHARD ULEVITCH</p> <p>1001 GENTER ST APT 7F LA JOLLA, CA 92037</p>
2. <u>94</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>ROBERT W. OVERELL</p> <p>123 2ND AVE. N, #521 SEATTLE, WA 98109</p>
2. <u>95</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>ROBERT W. OVERELL, PHD</p> <p>123 2ND AVE. N, #521 SEATTLE, WA 98109</p>
2. <u>96</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>SAFC, INC.</p> <p>3050 SPRUCE STREET ST. LOUIS, MO 63103</p>

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2. <u>97</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>SAMUEL ZALIPSKY</p> <p>1202 TRUMAN STREET REDWOOD CITY, CA 94061</p>
2. <u>98</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>SBNL USA, LTD.</p> <p>6605 MERRILL CREEK PARKWAY EVERETT, WA 98203</p>
2. <u>99</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>SCOTTSDALE INDEMNITY COMPANY</p> <p>NATIONWIDE MANAGEMENT LIABILITY & SPECIALTY 7 WORLD TRADE CENTER, 37TH FLOOR 250 GREENWICH STREET NEW YORK, NY 10007</p>
2. <u>100</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>SEAN D. MONAHAN, PHD</p> <p>5325 NE 187TH ST. LAKE FOREST PARK, WA 98115</p>
2. <u>101</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>SHERBINA INTELLECTUAL PROPERTY LAW, PLLC</p> <p>490 KALEETAN PL. NE BAINBRIDGE ISLAND, WA 98110</p>
2. <u>102</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>SOUND BENEFIT ADMINISTRATION</p> <p>4725 NE TOTTEN ROAD POULSBO, WA 98370</p>
2. <u>103</u>	<p>State what the contract or lease is for and the nature of the debtor's interest</p> <p>State the term remaining</p> <p>List the contract number of any government contract</p>	CONTRACT	<p>SPIRA CONSULTING</p> <p>13030 SUNRISE DRIVE NE BAINBRIDGE ISLAND, WA 98110</p>

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2. <u>104</u>	State what the contract or lease is for and the nature of the debtor's interest	CONTRACT	STEVEN GILLIS 4311 FOREST AVE SE MERCER ISLAND, WA 98040
	State the term remaining		
	List the contract number of any government contract		
2. <u>105</u>	State what the contract or lease is for and the nature of the debtor's interest	CONTRACT	STEVEN GILLIS, PHD 1000 SECOND AVENUE STE. 3700 SEATTLE, WA 98040
	State the term remaining		
	List the contract number of any government contract		
2. <u>106</u>	State what the contract or lease is for and the nature of the debtor's interest	CONTRACT	SUN LIFE ASSURANCE COMPANY OF CANADA I SUN LIFE EXECUTIVE PARK WELLESLEY HILLS, MA 2481
	State the term remaining		
	List the contract number of any government contract		
2. <u>107</u>	State what the contract or lease is for and the nature of the debtor's interest	CONTRACT	SYNGENE INTERNATIONAL LIMITED BIOCON SPECIAL ECONOMIC ZONE, BIOCON PARK, PLOT NO. 2 & 3 BOMMASANDRA INDUSTRIAL AREA IV PHASE BOMMASANDRA - JIGANI LINK ROAD BANGALORE 560 099 INDIA
	State the term remaining		
	List the contract number of any government contract		
2. <u>108</u>	State what the contract or lease is for and the nature of the debtor's interest	CONTRACT	TERI BLEVINS 16415 122ND CT. NE BOTHELL, WA 98011
	State the term remaining		
	List the contract number of any government contract		
2. <u>109</u>	State what the contract or lease is for and the nature of the debtor's interest	CONTRACT	TONY CONVERTINE 1705 NE PACIFIC ST FOEGE N509A SEATTLE, WA 98195
	State the term remaining		
	List the contract number of any government contract		
2. <u>110</u>	State what the contract or lease is for and the nature of the debtor's interest	CONTRACT	TONYA REILLY, LLC 2428 NW MARKET ST. #708 SEATTLE, WA 98107
	State the term remaining		
	List the contract number of any government contract		

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State the term remaining

List the contract number of any government contract

TOPPAN VINTAGE

747 THIRD AVE., 7TH FLOOR
NEW YORK, NY 100172. 112 State what the contract or lease is for and the nature of the debtor's interest CONTRACT

State the term remaining

List the contract number of any government contract

TRILINK BIOTECHNOLOGIES, LLC

9955 MESA RIM ROAD
SAN DIEGO, CA 921212. 113 State what the contract or lease is for and the nature of the debtor's interest CONTRACT

State the term remaining

List the contract number of any government contract

UNITED CREATIONS, INC.

7221 FIFTH AVE.
SEATTLE, WA 981152. 114 State what the contract or lease is for and the nature of the debtor's interest CONTRACT

State the term remaining

List the contract number of any government contract

UNIVERSITY OF WASHINGTON

UW COMOTION, INNOVATION DEVELOPMENT
ATTN: DIRECTOR
4311 11TH AVE. NE, STE. 500
SEATTLE, WA 98105-46082. 115 State what the contract or lease is for and the nature of the debtor's interest CONTRACT

State the term remaining

List the contract number of any government contract

UNIVERSITY OF WASHINGTON DEPARTMENT OF COMPARATIVE MEDIC

DR. THEA BRABB, CHAIR
DEPT. OF COMPARATIVE MEDICINE
UW SCHOOL OF MEDICINE, MAGNUSON HEALTH SCIENCES BLDG.,
ROOM I-408, BOX 357340
SEATTLE, WA 98195-71902. 116 State what the contract or lease is for and the nature of the debtor's interest CONTRACT

State the term remaining

List the contract number of any government contract

UNIVERSITY OF WASHINGTON, DEPARTMENT OF COMPARATIVE MEDIC

UNIVERSITY OF WASHINGTON SCHOOL OF MEDICINE
1959 NE PACIFIC ST., STE. F306
BOX 357229
SEATTLE, WA 981952. 117 State what the contract or lease is for and the nature of the debtor's interest CONTRACT

State the term remaining

List the contract number of any government contract

UNIVERSTIY OF WASHINGTON CENTER FOR COMMERCIALIZATION

4311 11TH AVE. NE, SUITE 500
SEATTLE, WA 98105

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2. 118 State what the contract or lease is for and the nature of the debtor's interest CONTRACT

State the term remaining

List the contract number of any government contract

WESTWICKE PARTNERS, LLC

12626 HIGH BLUFF DRIVE, SUITE 350
SAN DIEGO, CA 92130

2. 119 State what the contract or lease is for and the nature of the debtor's interest CONTRACT

State the term remaining

List the contract number of any government contract

WOODRUFF SAWYER & CO.

2211 ELLIOT AVENUE, SUITE 200
SEATTLE, WA 98121

2. ____ State what the contract or lease is for and the nature of the debtor's interest

State the term remaining

List the contract number of any government contract

2. ____ State what the contract or lease is for and the nature of the debtor's interest

State the term remaining

List the contract number of any government contract

2. ____ State what the contract or lease is for and the nature of the debtor's interest

State the term remaining

List the contract number of any government contract

2. ____ State what the contract or lease is for and the nature of the debtor's interest

State the term remaining

List the contract number of any government contract

2. ____ State what the contract or lease is for and the nature of the debtor's interest

State the term remaining

List the contract number of any government contract

Fill in this information to identify the case and this filing:

Debtor Name PHASERX, INC.

United States Bankruptcy Court for the: _____ District of DELAWARE
(State)

Case number (If known): 17-12890 (CSS)

Official Form 202**Declaration Under Penalty of Perjury for Non-Individual Debtors****12/15**

An individual who is authorized to act on behalf of a non-individual debtor, such as a corporation or partnership, must sign and submit this form for the schedules of assets and liabilities, any other document that requires a declaration that is not included in the document, and any amendments of those documents. This form must state the individual's position or relationship to the debtor, the identity of the document, and the date. Bankruptcy Rules 1008 and 9011.

WARNING -- Bankruptcy fraud is a serious crime. Making a false statement, concealing property, or obtaining money or property by fraud in connection with a bankruptcy case can result in fines up to \$500,000 or imprisonment for up to 20 years, or both. 18 U.S.C. §§ 152, 1341, 1519, and 3571.

Declaration and signature

I am the president, another officer, or an authorized agent of the corporation; a member or an authorized agent of the partnership; or another individual serving as a representative of the debtor in this case.

I have examined the information in the documents checked below and I have a reasonable belief that the information is true and correct:

- ☐ *Schedule A/B: Assets—Real and Personal Property* (Official Form 206A/B)
- ☐ *Schedule D: Creditors Who Have Claims Secured by Property* (Official Form 206D)
- ☐ *Schedule E/F: Creditors Who Have Unsecured Claims* (Official Form 206E/F)
- ☐ *Schedule G: Executory Contracts and Unexpired Leases* (Official Form 206G)
- ☐ *Schedule H: Codebtors* (Official Form 206H)
- ☐ *Summary of Assets and Liabilities for Non-Individuals* (Official Form 206Sum)
- ☒ Amended Schedule A/B, E/F & G
- ☐ *Chapter 11 or Chapter 9 Cases: List of Creditors Who Have the 20 Largest Unsecured Claims and Are Not Insiders* (Official Form 204)
- ☐ Other document that requires a declaration _____

I declare under penalty of perjury that the foregoing is true and correct.

Executed on 01/22/2018
MM / DD / YYYY

X /S/ ROBERT W. OVERELL, PH.D.

Signature of individual signing on behalf of debtor

ROBERT W. OVERELL, PH.D.

Printed name

PRESIDENT & CEO

Position or relationship to debtor