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**UNITED STATES DISTRICT COURT
FOR THE CENTRAL DISTRICT OF CALIFORNIA
SOUTHERN DIVISION**

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

vs.

RICHARD VU NGUYEN, A/K/A
NGUYEN THANH VU, and NTV
FINANCIAL GROUP, INC.,

Defendants,

and

MAI DO,

Relief Defendant

**Civil Action No.: 8:19-cv-01174-AG-
KES**

**Honorable Andrew J. Guilford
Courtroom 10D**

**DECLARATION OF RICHARD
NGUYEN IN SUPPORT OF
OPPOSITION TO EX PARTE**

**Complaint Filed: 6/13/18
Trial Date: None Set**

DECLARATION OF RICHARD NGUYEN

I, Richard Nguyen, hereby declare as follows:

1. I am a defendant in the above entitled action. I formerly ran and operated NTV Financial Group, Inc., until the appointment of Receiver Jeffrey Brandlin.

2. I make this declaration in support of Defendants' Opposition to Ex Parte to Amend Preliminary Injunction Order. I have personal knowledge of the facts stated herein and could and would competently testify to the matters if called upon to do so.

3. On June 26, 2019, myself and my girlfriend Mai Do were served with summons and complaint.

4. Shortly after being served with the lawsuit, I discovered that I was unable to access any bank accounts belonging to NTV Financial Group, Inc., my personal bank accounts and Ms. Do's personal bank accounts. All of the records relating to the business accounts and our personal accounts are stored online with the various institutions. I do not maintain hard copies of bank records.

5. In addition to losing online account access, I also lost access to my office condo which serves as the offices for NTV Financial Group, Inc. Any account documents, investor documents and client documents were stored at the office condo, except for a thumb drive I used to backup investor statements.

6. As a result of the denial of access to my online business and personal accounts and any hard copies previously stored at NTV Financial Group's offices, I have been unable thus far to provide my attorney with documents to rebut the SEC's allegations in the Complaint and Motion for Preliminary Injunction.

1 7. The real property located at 2506 Monte Carlo Dr, Santa Ana, CA, is
2 a townhouse that was purchased with funds not related to NTV Financial Group,
3 Inc. With the continuing lawsuit and asset freeze, I am concerned about my ability
4 to pay the mortgage on the Monte Carlo property. Rather than risk losing the
5 property in a foreclosure, I decided to list the property for sale and hope to sell the
6 home and pay off the mortgage before I lose it in foreclosure.

7 8. I have other real property and personal property that are also in
8 jeopardy of foreclosure or repossession due to my inability to pay the monthly
9 payments with the frozen bank accounts.

10
11 I declare under penalty of perjury under the laws of the United States of
12 America that the foregoing is true and correct. Executed August 8, 2019, at Santa
13 Ana, CA.
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16 /Richard Nguyen/

17 Richard Nguyen
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CERTIFICATE OF SERVICE

I hereby certify that on August 9, 2019, I electronically filed the foregoing
“**DECLARATION OF RICHARD NGUYEN**” with the Clerk of the Court using
the CM/ECF system which will send notification of such filing via electronic mail
to all counsel of record.

/s/ Mike N. Vo

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