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15 **UNITED STATES DISTRICT COURT**  
16 **CENTRAL DISTRICT OF CALIFORNIA**

17 SECURITIES AND EXCHANGE  
18 COMMISSION,

19 Plaintiff,

20 vs.

21 JUSTIN ROBERT KING; AND  
22 ELEVATE INVESTMENTS LLC,

23 Defendants,

24 SHANNON LEIGH KING,

25 Relief Defendant.  
26  
27  
28

Case No. SACV 20-02398 JVS (DFMx)

**STATUS REPORT REGARDING  
RELIEF DEFENDANT SHANNON  
LEIGH KING**

1 Counsel for the Securities and Exchange Commission (“SEC”) and counsel for  
2 relief defendant Shannon Leigh King (“S. King”) hereby submit this status report  
3 regarding S. King. SEC counsel and counsel for S. King have agreed to a settlement  
4 in principle of the claim against S. King. Only the SEC Commissioners in  
5 Washington, D.C. have the final authority to approve a settlement, and SEC counsel  
6 has begun the process of obtaining final settlement approval and authorization from  
7 the SEC Commissioners. The executed Consent and Proposed Judgment as to S.  
8 King will be filed as soon as SEC counsel receives authorization to do so from the  
9 Commission.

10  
11 Dated: December 6, 2021

Respectfully submitted,

12  
13 /s/ Lynn M. Dean

14 Lynn M. Dean  
15 Kathryn Wanner  
16 Attorneys for Plaintiff  
Securities and Exchange Commission

17 Dated: December 6, 2021

18  
19 /s/ Michael J. Quinn

20 Michael J. Quinn  
21 Marie E. Christiansen  
22 Attorneys for Relief Defendant  
23 Shannon Leigh King  
24  
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**PROOF OF SERVICE**

I am over the age of 18 years and not a party to this action. My business address is:

U.S. SECURITIES AND EXCHANGE COMMISSION,  
444 S. Flower Street, Suite 900, Los Angeles, California 90071  
Telephone No. (323) 965-3998; Facsimile No. (213) 443-1904.

On December 6, 2021, I caused to be served the document entitled **STATUS REPORT REGARDING SHANNON LEIGH KING** on all the parties to this action addressed as stated on the attached service list:

☐ **OFFICE MAIL:** By placing in sealed envelope(s), which I placed for collection and mailing today following ordinary business practices. I am readily familiar with this agency's practice for collection and processing of correspondence for mailing; such correspondence would be deposited with the U.S. Postal Service on the same day in the ordinary course of business.

☐ **PERSONAL DEPOSIT IN MAIL:** By placing in sealed envelope(s), which I personally deposited with the U.S. Postal Service. Each such envelope was deposited with the U.S. Postal Service at Los Angeles, California, with first class postage thereon fully prepaid.

☐ **EXPRESS U.S. MAIL:** Each such envelope was deposited in a facility regularly maintained at the U.S. Postal Service for receipt of Express Mail at Los Angeles, California, with Express Mail postage paid.

☐ **HAND DELIVERY:** I caused to be hand delivered each such envelope to the office of the addressee as stated on the attached service list.

☐ **UNITED PARCEL SERVICE:** By placing in sealed envelope(s) designated by United Parcel Service ("UPS") with delivery fees paid or provided for, which I deposited in a facility regularly maintained by UPS or delivered to a UPS courier, at Los Angeles, California.

☐ **ELECTRONIC MAIL:** By transmitting the document by electronic mail to the electronic mail address as stated on the attached service list.

☒ **E-FILING:** By causing the document to be electronically filed via the Court's CM/ECF system, which effects electronic service on counsel who are registered with the CM/ECF system.

☐ **FAX:** By transmitting the document by facsimile transmission. The transmission was reported as complete and without error.

I declare under penalty of perjury that the foregoing is true and correct.

Date: December 6, 2021

/s/ Lynn M. Dean

Lynn M. Dean

***SEC v. Justin Robert King, et al.***  
**United States District Court—Central District of California**  
**Case No. 8:20-cv-02398-JVS-DFM**

**SERVICE LIST**

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